

60x30TX

Texas Higher Education
Coordinating Board

FY2016

Financial Aid Database (FADB)

Financial Aid Database Report Manual

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CONTACTS:

Technical Support - Tanya Trevino

Tanya.trevino@thech.state.tx.us OR
FADB@thech.state.tx.us

Outside Austin: 800/242-3062 Ext. 6123

Austin Metro: 512/427-6123

Program Support - Financial Aid Services

GrantInfo@thech.state.tx.us

Outside Austin: 800/242-3062 options 3, 3

Austin Metro: 512/427-6340 options 3, 3

Texas Higher Education Coordinating Board

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Introduction

Each year, institutions of higher education are required to submit to the Texas Higher Education Coordinating Board (THECB) a comprehensive file that collects a set of data elements for each aid applicant attending the institution. The file that is generated by each institution is called the Financial Aid Database (FADB) report. [Rider 30, Page III-53 of the General Appropriations Act for the 2015-2016 Biennium](#) directs the THECB to present an annual report to the (LBB) by November 1 of each calendar year concerning student financial aid at Texas public and independent institutions of higher education. The data collected in FADB report is the primary source of information used in the annual Financial Aid Report which is provided to the Legislative Budget Board (LBB) by THECB.

The FADB data provides the state a means of accurately measuring the funding resources available to Texas students, conduct compliance checks to ensure the programs are being administered accurately and confirms adherence to program statute and rules. THECB uses the information summarized in this report to generate statewide and institutional reports.

SEQUENCE OF ACTIVITIES

A. Each year starting in the spring, the THECB will ask the institution's Financial Aid Office to confirm that the "generic" e-mail address that begins with fadmail@institution or fad-mail@institution is active. If THECB cannot confirm the email addresses, file receipt confirmations and error report notifications cannot be sent by the FADB system to the institution.

B. The institutions submit files electronically the [MOVEit® DMZ portal](#) and the institution then receives an immediate confirmation that the file was received. This confirmation does not mean that the file is correct; it simply indicates that the institution's transmissions were received. Even if the institution does not have a full report to submit, it is recommended that the institution submit **some** data so that the records can be run through the edit checks. This test file will ensure the following: 1) the institution will receive file receipt confirmations, 2) the institution will receive edit report notifications, 3) the header record is valid, and 4) the student records being submitted are in the correct format. Once a test file is submitted, subsequent files **must** include all student records. Each time a file is submitted, it will overwrite the file previously submitted by the institution, so a complete file must be submitted. However, THECB cannot accept pieces of a file a bit at a time.

C. THECB's system edits are performed on the submitted file, which check to make sure the institution's file is compatible with the required format in terms of spacing, field lengths, order of data elements and justification (numbers are right justified; alphabetic fields are left justified). The edit program will also check to make sure the figures being submitted are within the ranges for each field, which are listed in detail beginning on page 9.

If errors are detected or the data appears questionable, a notification will be sent by email, which will indicate the location of the edit report. The notification is sent to the institution's generic e-mail address. Once the edit report is retrieved, it will list which fields have errors or will have values that need to be reviewed by the institution. Error messages must be corrected and warnings or questionable values must be reviewed for accuracy.

D. The institution makes corrections as indicated on the error listing and then will resubmit the entire file. The students' data will NOT be added to the statewide database until all of the errors have been resolved. A file with warnings or questionable values will be accepted by THECB without additional confirmation. However, warnings or questionable values must be reviewed closely by the institution. See page 4 regarding overrides.

THECB monitors the time delays in receiving responses from institutions. If more than 2 days pass between the sending of our edit listings and the receipt of a response from the school, THECB will contact the institution through the generic email address. **All edits must be resolved by December 1st.**

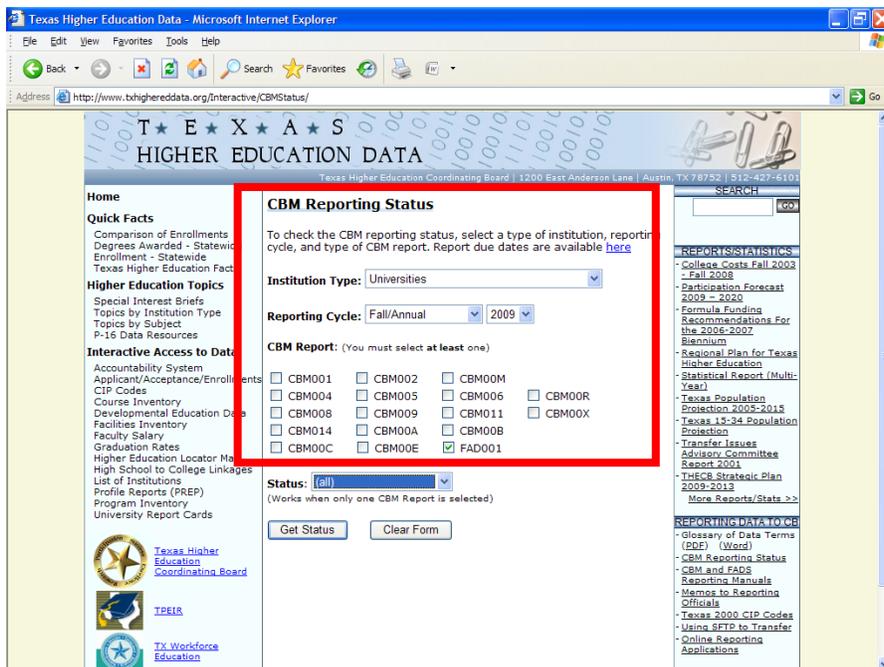
THIS CYCLE IS REPEATED UNTIL ALL ERRORS HAVE BEEN RESOLVED.

E. Once the institution's file is error free, a reconciliation memo along with summary reports, in Excel format, are sent for review. These are sent to the generic email address. **The summary reports must be reviewed to make sure the program totals reconcile to THECB's EOY reports and that they accurately reflect the activities made by the Institution.** Any program totals that do not match must be reconciled. This includes either resubmitting the FAD001 to match the THECB's EOY report or correcting the THECB's EOY report to match the FAD001. Institutions are asked to make sure the student ID numbers being reported in the FAD001 are the same being reported in the CBM001. The residency coding of students in the FAD001 and the CBM001 for non-immigrant residents (HB1403 students) must be reconciled before an institution can request certification. These additional steps

allow THECB to match records between the CBM001 and FAD001 reports, which expands the agency’s ability to analyze student trends and accurately report aid recipients and amounts to the Legislature.

F. Once all programs and CBM001/FAD001 data are reconciled, a new summary report will be sent to the institution. If the reports are accurate, the institution will request the Certification by sending an email to Tanya Treviño at tanya.trevino@thecb.state.tx.us. The **Request for Certification** is included at the bottom of the reconciliation memo that is sent out. When the Certification is received by the institution, it must be completed and sent back by email. **An institution’s report will not be considered COMPLETE until the Certification is requested, filled out, and returned.**

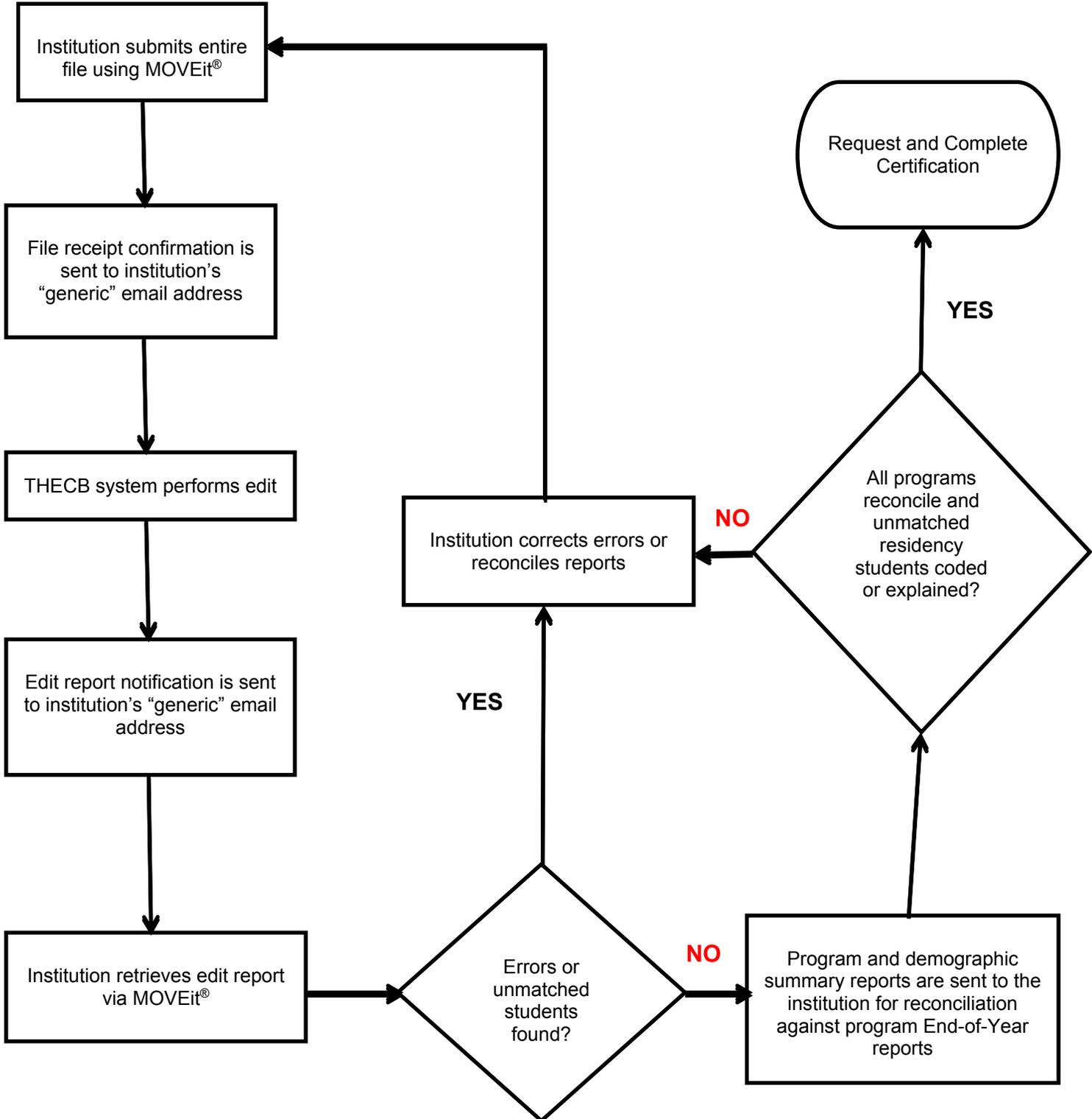
G. Institutions can go to <http://www.txhighereddata.org/Interactive/CBMStatus/> to view the status of the report at any time. The list is organized by **Institution Type** → Under **Reporting Cycle**, select **“Fall/Annual”** and the **Year** → Under **CBM Report**, select **“FAD001”**.



Special Circumstances – Overriding Limits: When THECB has identified correct data as errors, institutions will need to send THECB a written explanation indicating the information is correct and authorizing THECB to override the editing process to accept the unexpected value. (For example: students that may have received an error for their enrollment status, but were coded with professional judgment on the EOY report). The written statement should be e-mailed to Tanya Treviño at tanya.trevino@thecb.state.tx.us. If this type of override is used to resolve the final error(s) remaining in an institution’s file, the institution will be sent an email that the errors have been overridden. Please use the format below when requesting an override:

List the last 4 digits of the student’s social security number, give the data element number for the field and list the reason the override should be given.

Sequence of Activities



FADB File Processing

File Structure:

- Files should be created and saved using fixed length width.
-

Important Reporting Dates:

- August 1 Institutions may begin submitting files.
- December 1 Institutions must have an error-free report submitted, reconciled* and certified to be considered complete.

*The FADB is reconciled against the End-Of-Year reports that are submitted in July annually.

EDC Data Report Processing Schedule (7 days a week):

Reports submitted by:	Edit run begins:	Edit reports posted:
6:00 a.m.	6:10 a.m.	7:00 a.m.
9:00 a.m.	9:10 a.m.	10:00 a.m.
10:30 a.m.	10:40 a.m.	11:30 a.m.
1:30 p.m.	1:40 p.m.	2:30 p.m.
3:00 p.m.	3:10 p.m.	4:00 p.m.
6:30 p.m.	6:40 p.m.	7:30 p.m.

File Processing:

- If an institution submits multiple reports at the same time, the FAD001 report is always be processed first. However, the acknowledgements are not sent out to the school's generic email addresses until all the submitted reports are processed. If more than 45 minutes pass between when the FAD001 report is submitted and no acknowledgement is received, check the generic email address for a response.
- Please note that during peak processing times, the schedule above may vary depending on the number of reports received from institutions at any one time. This is one reason the institution should notify the THECB if a file receipt confirmation has not received within an hour of the file being sent.

STUDENT DATA LAYOUT

**New and Modified Data Elements
Data Elements and Current Field Limitations
Instructions for Data Elements
Database Structure**

IMPORTANT: NEW AND MODIFIED DATA ELEMENTS FY2016 FADB

NEW DATA ELEMENTS:

Data Element 21b (9-month Cost of Attendance (COA)) – Previously part of 21b (Filler), this field has been broken e field down into 2 fields. The first part of the field is being used to report the 9-month cost of attendance as determined using the Federal Methodology (FM). Institutions can enter 999999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand calculation based on the Federal Methodology. Under these circumstances, if the student received aid, it should only be merit aid, categorical aid or other aid that does not require a need analysis to determine eligibility. Otherwise, there should be a valid 9-month COA reported here and a COA reported for the period the student received aid in data element 27. This is a mandatory field and will be used in determining FY2017 allocations in TEXAS Grant, TEOG and Texas College Work-Study.

Data Element 33a (TASSP (Texas Armed Services Scholarship Program)) – Previously a filler field. The following edits are required for a student to be reported:

- All Institutions – maximum \$7,000;
- Undergraduates and first-time entering students.

MODIFIED DATA ELEMENTS:

Data Element 4 (Top 10%) – maximum changed to \$1,950;

Data Element 13 (Need Analysis) – with the addition of a field 21b, **(9-month Cost of Attendance (COA))**, if 2 is reported, indicating the student did not complete a need analysis, report 9's in this field.

Data Element 21c (Filler Field) – Previously part of field 21b (Filler), the second part of the field is being used as the remainder of the filler field. LEAVE BLANK OR USE SPACES.

Data Element 31a (PELL) – maximum changed to \$5,775;

Data Element 31e (TEG) – maximum changed to \$5,046 if undergraduate EFC <= 1000, otherwise max is \$3,364, student's tuition differential or student's need (COA-EFC);

Data Element 31o (TEXAS Grant Program) – maximum changed to \$8,480;

- Public Universities, Public Health-Related and State Colleges – maximum \$8,480
- Public Community Colleges – maximum \$2,736
- Public Technical Colleges – maximum \$4,438

Data Element 31p (TEOG) – maximum changed to \$8,480;

- State Colleges – maximum \$8,480
- Public Community Colleges – maximum \$2,736
- Public Technical Colleges – maximum \$4,438

Data Element 33i (BOT) – maximum changed to \$8,480;

- Public Universities, Public Health-Related and State Colleges – maximum \$8,480
- Public Community Colleges – not eligible; error if > 0
- Public Technical Colleges – not eligible; error if > 0
- Private Institutions – maximum \$8,480

Data Element 34a (Teach Grant) – maximum changed to \$3,728;

- If first disbursed on or after 10/1/2014, and before 10/1/2015, the maximum award of \$4,000 is reduced by 7.3 percent (\$292), resulting in a maximum award of \$3,708.
- If first disbursed on or after 10/1/2015, and before 10/1/2016, the maximum award of \$4,000 is reduced by 6.8 percent (\$272), resulting in a maximum award of \$3,728.

Data Elements and Current Field Limitations

Please provide the requested data for each person that enrolled at the institution and completed a FAFSA or TASFA (Texas Application for State Financial Aid) during the 2015-2016 fiscal year, regardless if they received aid. Also include any enrolled student that received aid including merit aid, but may not have completed either the FAFSA or TASFA. All fields are required to be reported, even if reporting zeroes or blanks. **New and modified data elements are bolded and highlighted.** Data Element Instructions begin on page 23.

Data Element	Field Layout	Format	Error Listing Messages
1A. Report Code COLUMN 1	F	alpha; left justified F upper or lower case acceptable	error if does not equal F
1B. FICE COLUMN 2-7	999999	numeric; right justified 6-digit fice code of institution submitting file	error if non-numeric error if fice code does not match fice code in header record
1C. Report Year COLUMN 8-11	9999	numeric; right justified	error if non-numeric error if incorrect report year
1D. Student Social Security Number/ID Number COLUMN 12-20	999999999	alphanumeric; right justified Cannot be blank or zeroes	error if (social-security-number = 0) and ((county- code-perm-address < 401) and (county-code- perm-address > 0)) error if ID/SSN not on file via the CBM001 report
2. Student and or spouse adjusted gross income COLUMN 21-26	999999	numeric; right justified rounded to full dollar amounts response required even if 000000	error if non-numeric error if >999999 error if negative value warning if > 400,000

Data Element	Field Layout	Format	Error Listing Messages
3. Parent's adjusted gross income COLUMN 27-32	999999	numeric; right justified rounded to full dollar amounts response required even if 000000	error if non-numeric error if >999999 error if dependency status (data element 18) = 2 error if negative value warning if >400,000
4. MODIFIED - TOP 10 Percent Scholarship COLUMN 33-37	99999	numeric; right justified rounded to full dollar amounts range 0 through 1950	error if >1950 error if enrollment status (data element 23) = 2 or 3 or 4 error if residency status (data element 20) = 2 or 3 or 4 error if classification (data element 17) = 3 or 4 error if not public institution
5. Unmatched Reason COLUMN 38-39	00 = Student was not included on error list(s) 01 = Student dropped all classes before census date in all term/terms in which he/she enrolled. 02 = Student enrolled after the census date in all term/terms in which he/she enrolled 03 = Student is 100% enrolled in continuing education courses 04 = Student is a nonresident and is 100% enrolled in distance education 05 = Student was only enrolled in summer or winter or mini session 06 = Student's SSN/ID or residency was reported incorrectly and a change will/has been made through the CBM00N or a future CBM001. 07 = Student began year as 1403 student, and then became Permanent Resident. 08 = Student deceased and not reported on CBM001 09 = Student attended this campus, but enrollment was reported by another one of our campuses 10 = Student/classes are not reported for state funding 11 = Other reason not listed above, but sent as override request to THECB.	alphanumeric; right justified range 00 through 11 Report all students as 00. When/if they appear on the error list as unmatched, code them appropriately.	error if out of range (00-11)

Data Element	Field Layout	Format	Error Listing Messages
6. Tuition Exemption/Waiver Award 1 COLUMN 40-44	99999 PUBLIC INSTITUTIONS ONLY	numeric; right justified rounded to full dollar amounts Enter 00000 for private institutions or if the student received no exemptions or waivers.	error if non-numeric error if >99999 error if not public inst
7. Tuition Exemption/Waiver Code 1 COLUMN 45-46	XX (See list of codes on page 25) PUBLIC INSTITUTIONS ONLY	alphanumeric; right justified range 00 through 67 Enter 00 for private institutions or if the student received no exemptions or waivers.	error if out of range (00-67) error if not public inst
8. Tuition Exemption/Waiver Award 2 COLUMN 47-51	99999 PUBLIC INSTITUTIONS ONLY	numeric; right justified rounded to full dollar amounts Enter 00000 for private institutions or if student received only one exemption/waiver in the year	error if non-numeric error if >99999 error if not public inst
9. Tuition Exemption/Waiver Code 2 COLUMN 52-53	XX (See list of codes on page 25) PUBLIC INSTITUTIONS ONLY	alphanumeric; right justified range 00 through 67 Enter 00 for private institutions or if student received only one exemption/waiver in the year	error if out of range (00-67) error if not public inst
10. Tuition Exemption/Waiver Award 3 COLUMN 54-58	99999 PUBLIC INSTITUTIONS ONLY	numeric; right justified rounded to full dollar amounts Enter 00000 for private institutions or if student received only two exemptions/waivers in the year	error if non-numeric error if >99999 error if not public inst
11. Tuition Exemption/Waiver Code 3 COLUMN 59-60	XX (See list of codes on page 25) PUBLIC INSTITUTIONS ONLY	alphanumeric; right justified range 00 through 67 Enter 00 for private institutions or if student received only two exemptions/waivers in the year	error if out of range(00-67) error if not public inst

Data Element	Field Layout	Format	Error Listing Messages
12. FILLER COLUMN 61-75	999999999999999	numeric; right justified 15 digits DO NOT LEAVE BLANK; ENTER ZEROES	ERROR IF BLANK
13. MODIFIED - Need Analysis COLUMN 76	1 = Yes 2 = No	alphanumeric; right justified in range of 1 through 2	error if out of range (1-2) error if 2 and data element 28 (expected family contribution) not 999999 error if 2 and data element 21a (9-month EFC) not 999999 error if 2 and data element 21b (9-month COA) not 999999 error if >75% of students = 2 warning if 100% of students = 1 warning if between 25% and 75% of students = 2
14. Living Arrangement COLUMN 77	1 = With Parents 2 = Campus Housing 3 = Off Campus 4 = Other	alphanumeric; right justified in range of 1 through 4	error if out of range (1-4) error if 4 and data element 13 =1 (Needs Analysis)
15. New Ethnic Origin COLUMN 78	1 = Hispanic or Latino origin 2 = Not Hispanic or Latino origin 3 = Not answered	alphanumeric; right justified in range of 1 through 3	error if out of range (1-3) error if = 1, 2 or 3 and data element 16a through 16g (race) not reported
16. Race Check all that apply		alphanumeric; right justified	error if non-numeric
a) White COLUMN 79	a) 1 = White	in range of 0 or 1	error if out of range 0 or 1
b) African-American, Black COLUMN 80	b) 2 = African-American, Black	in range of 0 or 2	error if out of range 0 or 2
c) Asian COLUMN 81	c) 4 = Asian	in range of 0 or 4	error if out of range 0 or 4

Data Element	Field Layout	Format	Error Listing Messages
d) Indian COLUMN 82	d) 5 = American Indian or Alaskan Native	in range of 0 or 5	error if out of range 0 or 5
e) International COLUMN 83	e) 6 = International	in range of 0 or 6	error if out of range 0 or 6
f) Unknown COLUMN 84	f) 7 = Unknown or Not Reported	in range of 0 or 7	error if out of range 0 or 7
g) Hawaiian COLUMN 85	g) 8 = Native Hawaiian or Other Pacific Islander	in range of 0 or 8	error if out of range 0 or 8
17. Classification COLUMN 86	1 = undergraduate, other than a first-time entering student (has not received a bachelor's degree) 2 = graduate (has received a bachelor's degree) 3 = professional (enrolled in law, medicine, dentistry, veterinary medicine, etc.) 4 = student with bachelor's or higher degree enrolled in undergraduate program 5 = first-time entering student	alphanumeric; right justified in range of 1 through 5	error if non-numeric error if out of range (1-5) error if public cc or public tech college and not = 1, 4 or 5
18. Dependency Status COLUMN 87	1 = dependent by Title IV definition 2 = independent by Title IV definition 3 = unknown	alphanumeric; right justified 1 through 3	error if non-numeric error if out of range (1-3) error if = 3 and data element 13 =1 (Needs Analysis)
19. Date of Birth COLUMN 88-95	MMDDYYYY	alphanumeric; right justified; 8 digits month range 01 through 12 day range 01 through 31 Enter the month, day and the four digit year of the birth of the student	error if non-numeric error if mm is out of range of 1-12 error if dd is out of range of 1-31 error if age < 7 or > 110 warning if age < 16 or > 75

Data Element	Field Layout	Format	Error Listing Messages
20. Residency COLUMN 96	1 = resident 2 = out-of-state or foreign (invalid for students receiving any state aid except TPEG) 3 = unknown (to be used ONLY by private schools and NOT for students receiving any state aid) 4 = National Merit Finalist (to be used ONLY by private schools with TEG students) 5 = Non-immigrant Residents (students who formerly would have qualified through section 2 of HB 1403)	alphanumeric; right justified 1, 2 or 5 (at public institution) 1, 2, 3, 4 or 5 (at independent institution; 4 only if student received TEG)	error if non-numeric error if public inst and not = 1 or 2 or 5 error if out of range (1-5) error if 5 and not reported as non-immigrant resident (1403) in CBM001Report
21a. 9-Month Expected Family Contribution (EFC) COLUMN 97-102	999999	numeric; right justified rounded to full dollar amounts	error if non-numeric error if >999999 error if 999999 and data element 13 = 1 (Needs Analysis)
21b. NEW - 9-Month Cost of Attendance (COA) COLUMN 103-108	999999	numeric; right justified rounded to full dollar amounts	error if non-numeric error if >999999 error if <50 AND (data elements 4 and 29-31g > 0 AND data elements 31j-34b > 0) error if 999999 and data element 13 = 1 (Needs Analysis)
21c. NEW - FILLER COLUMN 109-149	XXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXX	alphanumeric; left justified 41 characters LEAVE BLANK OR USE SPACES	ERROR IF NOT BLANK OR SPACES
22. Zip Code of Permanent Home Address COLUMN 150-158	999999999	alphanumeric response required, if USA address; leave blank, if not USA address must enter in full 9 digits; last 4 can be 0000	error if non-numeric error if zip prefix is 99999 (out of range) error if zip prefix is 00007 (out of range) error if zip not entered as 9 digits

Data Element	Field Layout	Format	Error Listing Messages
23. Enrollment Status COLUMN 159	1 = full-time 2 = ¾-time 3 = ½-time 4 = less than ½-time	alphanumeric; right justified 1 through 4	error if non-numeric error if out of range (1-4)
24. Gender COLUMN 160	M = male F = female	alpha; left justified M or F upper or lower case acceptable	error if does not equal M or F
25. Highest grade level Parent 1 completed COLUMN 161	1 = elementary 2 = high school 3 = college or beyond 4 = unknown	alphanumeric; right justified 1 through 4 if unknown, report 4	error if non-numeric error if out of range (1-4)
26. Highest grade level Parent 2 completed COLUMN 162	1 = elementary 2 = high school 3 = college or beyond 4 = unknown	alphanumeric; right justified 1 through 4 if unknown, report 4	error if non-numeric error if out of range (1-4)
27. Cost of Attendance COLUMN 163-167	99999	numeric; right justified rounded to full dollar amounts	error if non-numeric error if out of range (50-99999) error if <50 AND (data elements 29-31g > 0 AND data elements 31j-34b > 0) warning if 99999 and data element 13 = 1 (Needs Analysis)
28. Expected Family Contribution COLUMN 168-173	999999	numeric; right justified rounded to full dollar amounts	error if non-numeric error if >999999 error if 999999 and data element 13 = 1 (Needs Analysis)

Data Element	Field Layout	Format	Error Listing Messages
29. Tuition and/or Fee Exemptions or Waivers COLUMN 174-178	99999 PRIVATE INSTITUTIONS ONLY (with exceptions for PUBLIC INSTITUTIONS)	numeric; right justified rounded to full dollar amounts Enter 00000 for public institutions and student did not receive more than 3 exemptions or waivers. Enter 00000 for private institutions and the student did not receive any exemptions or waivers.	error if non-numeric error if >99999 error if public inst and >0 and elements 6 or 8 or 10 = 0 error if >0 and elements 6 or 8 or 10 > 0 warning if public institution and > 0 .
30. Categorical Aid COLUMN 179-183	99999	numeric; right justified rounded to full dollar amounts	error if non-numeric error if >99999
31. Grants and Scholarships		numeric; right justified rounded to full dollar amounts	error if non-numeric
a) MODIFIED - Federal Pell COLUMN 184-188	a) 99999	a) PELL range 0 through 5,775	error if >5775 (out of range) error if residency (data element 20) = 5
b) Federal SEOG (including match) COLUMN 189-193	b) 99999	b) SEOG range 0 through 4,000	error if >4000 (out of range) error if > (COA-EFC) & EAP > 0 error if > COA-EFC error if residency (data element 20) = 5
c) TPEG COLUMN 194-198	c) 99999 PUBLIC INSTITUTIONS ONLY	c) TPEG range 0 through (COA-EFC)	error if not public inst and >0 error if >99999 (out of range) error if > (COA-EFC) & EAP > 0 error if > COA-EFC
d) FILLER COLUMN 199-203	d) 99999	d) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0

Data Element	Field Layout	Format	Error Listing Messages
e) MODIFIED - TEG (private institutions only) COLUMN 204-208	e) 99999 PRIVATE INSTITUTIONS ONLY	e) TEG range 0 through 5,046	error if not private inst and > 0 error if >5046 (out of range) error if >3364 and EFC > 1000 error if > (COA-EFC) & EAP > 0 error if > COA-EFC error if >3364 and classification (data element 17) = 2, 3 or 4 error if enrollment status (data element 23) = 4 error if residency status (data element 20) = 2 or 3
f) FILLER COLUMN 209-213	f) 99999	f) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
g) HB3015 Grants/Scholarships COLUMN 214-218	g) 99999	g) HB3015 GR/SCH range 0 through 99,999	Error if > 99999 (out of range) error if > (COA-EFC) & EAP > 0 error if > COA-EFC error if not public university, state college or health-related inst error if residency status (data element 20) = 2 or 3 or 4
h) Merit-based aid funded by private donations to the institution COLUMN 219-223	h) 99999	h) MERIT-TO-INST range 0 through 99,999	error if > 99999 (out of range)
i) Institution funded Merit- based Gift Aid COLUMN 224-228	i) 99999	i) MERIT-FROM-INST range 0 through 99,999	error if > 99999 (out of range)
j) FILLER COLUMN 229-233	j) 99999	j)) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
k) FILLER COLUMN 234-238	k) 99999	k) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0

Data Element	Field Layout	Format	Error Listing Messages
l) Student Deposit Scholarship COLUMN 239-243	l) 99999 PUBLIC INSTITUTIONS ONLY	l) Deposit scholarship range 0 through (COA-EFC)	error if not public inst and >0 error if >99999 (out of range) error if > (COA-EFC) & EAP > 0 error if > COA-EFC error if residency status (data element 20) = 2 or 3 or 4
m) FILLER COLUMN 244-248	m) 99999	m) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
n) Other Scholarships or Grants COLUMN 249-253	n) 99999	n) Other need-based scholarships or grants Maximum unknown	error if >99999 (out of range)
o) MODIFIED - TEXAS Grant Program COLUMN 254-258	o) 99999	o) TEXAS Grant range 0 through 8,480	error if >0 and not participating inst error if > (COA-EFC) & EAP > 0 error if > COA-EFC error if >0 and classification (data element 17) = 2 or 3 or 4 error if enrollment status (data element 23) = 3 or 4 error if residency status (data element 20) = 2 or 3 or 4 error if > 0 AND TEG (data element 31e) > 0 error if > 0 AND BOT (data element 33i) > 0 error if > 8480 AND public universities, public health-related or state colleges error if > 2736 AND public community colleges error if > 4438 AND public technical colleges
p) MODIFIED - TEOG COLUMN 259-263	p) 99999 PUBLIC STATE COLLEGES, PUBLIC COMMUNITY COLLEGES AND TSTC ONLY	p) TEOG range 0 through 8,480	error if >0 and not participating inst error if > (COA-EFC) & EAP > 0 error if > COA-EFC error if >0 and classification (data element 17) = 2 or 3 or 4 error if enrollment status (data element 23) = 4 error if residency status (data element 20) = 2 or 3 or 4 error if > 8480 AND state colleges error if > 2736 AND public community colleges error if > 4438 AND public technical colleges

Data Element	Field Layout	Format	Error Listing Messages
q) FILLER COLUMN 264-268	q) 99999	q) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
32. Work-Study a) Federal Work-Study (federal funds plus match) COLUMN 269-273	a) 99999	numeric; right justified rounded to full dollar amounts a) Federal Work-Study range 0 through (COA-EFC) + 300	error if non-numeric error if > (COA-EFC + 300) & EAP > 0 error if > (COA-EFC)+300 error if > 99999 (out of range) error if residency status (data element 20) = 5
b) Texas Work-Study (state funds plus match plus excess funds) COLUMN 274-278	b) 99999	b) Texas Work-Study range 0 through (COA-EFC+300)	error if >99999 (out of range) error if >(COA-EFC+300) & EAP > 0 error if > COA-EFC+300 error if enrollment status (data element 23) = 4 error if residency status (data element 20) = 2 or 3 or 4
c) Need-based Institutional Work-Study COLUMN 279-283	c) 99999	c) Institutional Work-Study range unknown	error if >99999 (out of range) error if >(COA-EFC) & EAP > 0 error if > COA-EFC
d) AMERICORPS COLUMN 284-288	d) 99999	d) AMERICORPS range 0 through (COA-EFC)	error if >99999 (out of range) error if >(COA-EFC) & EAP > 0 error if > COA-EFC error if residency status (data element 20) = 5
e) HB3015 Work-study COLUMN 289-293	e) 99999	e) HB3015 Work-Study range 0 through 99,999	error if >99999 (out of range) error if >(COA-EFC) & EAP > 0 error if > COA-EFC error if residency status (data element 20) = 2 or 3 or 4 error if not public university, state college or health- related inst
f) Texas Work-Study Mentorship Program COLUMN 294-298	f) 99999	f) Texas Work-Study Mentorship Program range 0 through 99,999	error if >99999 (out of range) error if >(COA-EFC) & EAP > 0 error if > COA-EFC error if enrollment status (data element 23) = 4 error if residency status (data element 20) = 2 or 3 or 4

Data Element	Field Layout	Format	Error Listing Messages
33. Loans a) NEW - TASSP (Texas Armed Services Scholarship Program) COLUMN 299-303	Report net amount borrowed for all loans: a) 99999	numeric; right justified rounded to full dollar amounts a) TASSP range 0 through 7,000	error if non-numeric Error if > 7000 (out of range) error if >0 and classification (data element 17) = 2 or 3 or 4
b) FILLER COLUMN 304-308	b) 99999	b) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
c) Perkins Loans COLUMN 309-313	c) 99999	c) Perkins range 0 through 8,000	error if >8000 (out of range) error if residency (data element 20) = 5
d) FILLER COLUMN 314-318	d) 99999	d) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
e) FILLER COLUMN 319-323	e) 99999	e) numeric; right justified DO NOT LEAVE BLANK; ENTER 00000	Error if > 0
f) College Access Loan (CAL) COLUMN 324-328	f) 99999	f) CAL range 0 through COA	error if exceeds cost of attendance error if residency status (data element 20) = 2 or 3 or 4 error if enrollment status (data element 23) = 4
g) Primary Care Student Loans COLUMN 329-333	g) 99999	g) Primary care loans range unknown	error if non-numeric error if residency (data element 20) = 5
h) Federal PLUS Direct Loans (undergraduate and graduate) COLUMN 334-338	h) 99999	h) PLUS direct loans range 0 through COA	error if exceeds cost of attendance error if > 99999 (out of range) error if residency (data element 20) = 5

Data Element	Field Layout	Format	Error Listing Messages
i) Subsidized Federal Direct Loans COLUMN 339-343	i) 99999	i) Sub. direct loans range 0 through 8,500	error if >8500 (out of range) error if residency (data element 20)= 5
j) Other long-term loans COLUMN 344-348	j) 99999	j) Other loans range unknown	error if >99999 (out of range)
k) Unsubsidized Federal Direct Loans COLUMN 349-353	k) 99999	k) Unsub. direct loans range 0 through 47,167	error if >47167 (out of range) error if residency (data element 20) = 5
l) MODIFIED - BOT (Be On Time) Loan Program COLUMN 354-358	l) 99999	l) BOT Loan Program range 0 through 8,480	error if >8480 (out of range) error if enrollment status (data element 23) = 2 or 3 or 4 error if residency status (data element 20) = 2 or 3 or 4 or 5 error if classification (data element 17) = 2 or 3 or 4 error if not public universities, state colleges, public health-related or private institutions error if > 8480 AND public universities, public health-related, state colleges or private institutions error if > 0 AND public community colleges error if > 0 AND public technical colleges error if > 0 AND TXG (data element 31O) > 0
m) HB3015 Loans COLUMN 359-363	m) 99999	m) HB3015 Loans range 0 through 99999	error if > 99999 (out of range) error if >(COA-EFC) & EAP > 0 error if > COA-EFC error if residency status (data element 20) = 2 or 3 or 4 error if not public university, state college or health-related inst

Data Element	Field Layout	Format	Error Listing Messages
34. Other Programs		numeric; right justified rounded to full dollar amounts	error if non-numeric
a) Teach Grant COLUMN 364-368	a) 99999	a) Teach Grant Range 0 through 8,000	error if >8000 error if residency status (data element 20) = 5
b) HB3015 Other COLUMN 369-373	b) 99999	b) HB3015 Other range 0 through 99,999	error if >99999 error if >(COA-EFC) & EAP > 0 error if > COA-EFC error if residency status (data element 20) = 2 or 3 or 4 error if not public university, state college or health- related inst
35. Enrollment adjustment percentage (EAP)		numeric; right justified rounded to full percentage points, no decimal points.	error if non-numeric
a) Enrollment adjustment percentage (EAP) for Cost of Attendance COLUMN 374-376	999	numeric; right justified do not leave blank, enter in 000	error if non-numeric error if >150
b) Enrollment adjustment percentage (EAP) for Total Family Contribution COLUMN 377-379	999	numeric; right justified do not leave blank, enter in 000	error if non-numeric error if >150
36. Student ID Number COLUMN 380-388	999999999	alphanumeric; right justified do not leave blank, enter in zeroes	error if blank error if ID/SSN not on file via the CBM001 report

DATA ELEMENT INSTRUCTIONS

Include ALL students who were enrolled at the institution in FY2016 and completed either a FAFSA or TASFA (Texas Application for State Financial Aid) application, even if they did not receive financial aid as well as any student that was enrolled at the institution and received financial aid, but may not have completed either a FAFSA or TASFA application. Merit, non-need based aid recipients should be reported with the need-based aid recipients. The total number of records in the file should equal the total number of financial aid applicants that has been identified in the institution's header record.

- Element 1A **Report Code.** Always enter 'F'.
- Element 1B **FICE.** Enter the 6-digit FICE Code of the Institution. This is the six-digit "FICE" code assigned by the federal government and the same number used to submit loan applications.
- Element 1C **Report Year.** Enter the year that is being reported; 2016 for fall 2015 through summer 2016. THECB will accept the school's definition of an academic/award year; however, it is imperative that the institution be consistent in reporting from year to year. The statistics will be valid as long as they reflect a 12-month period, whenever that period begins or ends. Keep in mind that THECB uses the fiscal year EOY reports to reconcile against the FAD001 report. So, if the institutions uses Fall/Spring and Summer, it is easier to reconcile each program.
- Element 1D **Student Social Security Number/ID Number.** Enter the social security number of the student. The institution may assign a unique (9-digit) identification number to students **without** a social security number. (NOTE: Please do NOT use the FICE+3 trailing digit approach in assigning an ID). If the student has both SSN and Student ID, report the SSN in Element 1D and the ID in Element 36. **BE CONSISTENT WITH IDs REPORTED ON FAD001, CBM, TEXAS GRANT YEAR-END AND ANY OTHER REPORTS SUBMITTED TO THE CB.** The institution will receive a listing within the error report for any student(s) found on the FAD001 that were not reported on the CBM report. Alpha characters are now acceptable and must match what was reported on the CBM reports.
- Element 2 **Student and/or spouse adjusted gross income.** Enter the student and/or spouse's adjusted gross income. **For Dependent and Independent Students, report the AGI amount actually used to justify the financial aid award, which may have been adjusted by the institution via professional judgment.** The institution can enter 999999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand calculation based on the Federal Methodology.
- Element 3 **Parent's adjusted gross income.** For Dependent Students ONLY, enter in parent's adjusted gross income. Report the AGI amount actually used to justify the financial aid award, which may have been adjusted by the institution via professional judgment. The institution can enter 999999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand calculation based on the Federal Methodology.

Element 4 **MODIFIED: Top 10 Percent Scholarship. Report the Top 10 Percent Scholarship amount the student received. Student MUST be reported as:**

1. **Attending a public institution;**
2. **Undergraduate or First-time Entering Student (options 1 or 5 under data element 17, Classification);**
3. **Full-time (option 1 under data element 23, Enrollment Status); and**
4. **Texas Resident or Non-Immigrant Resident ONLY (options 1 or 5 under data element 20, Residency).**

Element 5

Unmatched Reason. Report "00" for all students when submitting the first report (unless the relevant codes are already know (listed below) for correcting errors). Use this element to explain discrepancies between the FAD001 and CBM001 report (as listed at the end of the edit report before submitting subsequent report files.) Report the reason code that indicates why the student appeared on the list within the edit report showing that the SSN/ID did not match between the FAD001 file and the CBM reports. If the student was not included on the list, the code should be left as 00.

- 00 = Student was not included on error list(s)
- 01 = Student dropped all classes before census date in all term/terms in which he/she enrolled.
- 02 = Student enrolled after the census date in all term/terms in which he/she enrolled
- 03 = Student is 100% enrolled in continuing education courses
- 04 = Student is a nonresident and is 100% enrolled in distance education
- 05 = Student was only enrolled in summer or winter or mini session
- 06 = Student's SSN/ID was reported incorrectly and a change will/has been made through the CBM00N
- 07 = Student began year as 1403 student, and then became Permanent Resident.
- 08 = Student deceased and not reported on CBM001
- 09 = Student attended this campus, but enrollment was reported by another one of our campuses
- 10 = Student/classes are not reported for state funding
- 11 = Other reason not listed above, but sent as override request to THECB.

NOTE: Option 10 can be used for students enrolled in:

Credit awards, audit courses, credit by examination online courses only, extension courses, study abroad, tele-campus, non-degree seeking, foreign International, consortium and/or TIER II.

If using option 11 for students that did not match based on residency, an override request **MUST** be emailed to Tanya Trevino giving the reason the student(s) did not match.

Elements 6, 8 and 10

Tuition Exemption/Waiver Awards. Private Institutions should report exemptions and waivers in Element 29. **Public institutions** should report their exemptions and waivers in fields 6-11; unless the student received more than 3 exemptions/waivers and therefore the

excess should be reported in element 29. Enter the award amount(s) of up to three different tuition or fee exemption or waivers the student received. In Elements 7, 9, and 11, identify the programs through which these awards were received. This should equal the amount of tuition and/or fees the student did NOT pay as a result of receiving an exemption or waiver. Fellowships should be reported under data element 31h, 31i, or 31n, depending on the source of funding. Remember, all exemption and waiver recipients should be reported in the FAD001, whether or not the recipient completed a FAFSA or TASFAA. Enter 00000 for any field not being reported, do not leave blank.

Element 7, 9
and 11

Tuition Exemption/Waiver Codes. Enter the tuition or fee exemption or waiver code(s) for the award amount(s) being reported in elements 6, 8 and 10. A listing of the codes is below. Enter 00 for any field not being reported, do not leave blank.

CODE for FAD001	E/W	DBField	Current Law	Former Law	Program Name
00	NA	None			Use for No Exemption or waiver being reported
01	E	Reduc	TEC 54.010	54.010	Tuition Reduction for >= 15 Hour
02	E	ConEn	TEC 54.011	54.011	Concurrent Enrollment / Min Tuition
03	E	Tui55	TEC 54.263	54.013	Senior Citizen Lowered Tuition 55 +
04	E	Desig	TEC 54.261	54.0513(d)	Designated Tuition Exemption
05	E	Valed	TEC 54.301	54.201	Highest Ranking HS Scholar
06	E	HazleSpouseC	TEC 54.341	54.203	Hazlewood Spouse (Credit)
07	E	HazleSpouseNC	TEC 54.341	54.203	Hazlewood Spouse (Non-Credit)
08	E	Legacy	TEC 54.341	54.203	Legacy Act
09	E	Hazle	TEC 54.341	TEC 54.203	Hazlewood Exemptions for Vets and Dependents
10	E	Hazle2	TEC 54.341	54.203(a)	Hazlewood Exemption (Non-Credit Hours)
11	E	Orph	TEC 54.341	54.203(b)	Hazlewood Dependents (Credit Hours)
12	E	Orph2	TEC 54.341	54.203(b)	Hazlewood Dependents (Non-Credit Hours)
13	E	Disab	TEC 54.351	54.204	Children of Disabled Firemen/Peace Officers
14	E	Dispo	TEC 54.352	54.2041	Disabled Police Officer
15	E	Deaf	TEC 54.364	54.205(a-3)	Blind/Deaf
16	W	GdNbr	TEC 54.331	54.207	Good Neighbor
17	E	FirSc	TEC 54.208 & TEC 54.3531	54.208	Firefighters taking Fire Science Courses
18	E	POW	TEC 54.343	54.209	Children of POWs and MIAs
19	E	SrAud	TEC 54.365 (b)	54.210(b)	Senior Citizen 65+ for Audit Hours
20	E	Stu65	TEC 54.365 (c)	54.210(c)	Senior Citizen 65+ for 6 hours free tuition
21	E	Fost	TEC 54.366	54.211	Foster Care/TX Dpt. Family Protective Svcs.
22	E	Adopted	TEC 54.367	54.2111	Adopted
23	E	NationalGuard	TEC 54.345	54.2155	National Guard Waiver (FY08)
25	E	Funded	TEC 54.217	54.217	Fully Funded Courses
26	E	Dist	TEC 54.218	54.218	Distance Learning Exemption from Fees
27	E	POWs	TEC 54.342	54.219	Ex-Prisoners Of War
28	E	ChildrenNurse	TEC 54.355	54.221	Children of Nurse Faculty
29	E	Preceptor	TEC 54.356	54.222	Preceptors and/or their Children
30	E	InterInst	TEC 54.368	54.224	Inter-Institutional Academic Program
31	E	Prora	TEC 54.5025	54.5025	Prorated Fees for Term Length
32	E	Fihar	TEC 54.262	54.503(e)	Student Services Fee Exemption
33	E	Irrel	TEC 54.5035	54.5035	Governing Board Waivers
34	E	TTFGTP	TEC 54.624	54.624	Texas Tomorrow Fund / Pub. Univ.
35	E	AdVal	TEC 130.0032	130.0032	Ad Valorem
37	E	CCEmpl	TEC 130.0851	130.0851	Community College District Employees

CODE for FAD001	E/W	DBField	Current Law	Former Law	Program Name
38	E	PubSe	TEC 54.354	TGC 615.0225	Dependents of Deceased Public Servants
39	W	MilTx	TEC 54.241	54.058	Military in Texas
40	W	msu	TEC 54.241	54.058(h)	Radiology at MSU
41	W	Intent	TEC 54.241	54.058(k)	Intention to Stay in Texas
42	W	Teach	TEC 54.211	54.059	College Teachers, Profs. etc.
43	W	BorSta	TEC 54.231	54.060(a)	Border States
44	W	BrdCtry	TEC 54.231(b)	54.060(b)	Citizens of Mexico in Border Counties
45	W	PubHlt	TEC 54.231(b)(4)	54.060(b)	Citizens of Mexico Public Health
46	W	Pilot	TEC 54.231(d)	54.060(c)	Citizens of Mexico (Pilot)
47	W	BorCo	TEC54.231(a),(g)	54.060(g)	Border County / Parish
48	W	Univ100Mi	TEC 54.0601	54.0601	100 Mile waiver
49	W	TARA	TEC 54.212	54.063	Teaching and Research Assistants
50	W	UgAcad	TEC 54.213	54.064	Competitive Scholarship
51	W	MdPhd	TEC 54.214	54.065	Biomedical MD/PhD
52	W	Ednd	TEC 54.222	54.066	Economic Development
53	W	NsgGrad	TEC 54.251	54.069	Nursing Grad Students Planning to Teach
54	W	FrnSvc	TEC 54.206	54.070	Foreign Service Officer
55	W	Olympic	TEC 54.223	54.073	Olympic Programs Waiver
56	W	nato	TEC 54.232	54.074	NATO Members and Families
57	W	TTF	TEC 54.621(c)	54.621(c)	Texas Tomorrow Fund Contract
58	W	UTTech	TEC 54.221	65.45	Tech fee at UT Austin
59	W	ACM	TEC 54.233	160.07	Academic Common Market waiver
60	E	DevEd	TEC 54.225	same	Non-Semester-Length Dev Ed Courses
61	E	EdAide	TEC 54.363	TEC 54.214	Educational Aides Exemption
62	E	OffPeak	TEC 54.061	same	Reduced tuition/off-peak hour courses
63	E	PeaceOff	TEC 54.2081/TEC 54.353	TEC 54.208	Peace Officer Exemption
64	E	TANF	TEC 54.361	TEC 54.212	TANF Exemption
65	E	Taps	TEC 54.344	TEC 54.215	"Taps" Performers
66		FILLER			Unreserved
67	E	COM	TEC 54.2031	TEC 54.2031	Combat Exemption

Element 12 **FILLER.** Enter in Zeroes. Do not leave blank.

Element 13 **MODIFIED: Need Analysis.** Enter the code that indicates whether or not the institution determined the student's Expected Family Contribution (EFC) based on the Federal Methodology (FAFSA, TASFA, or hand calculation).

- 1 Yes
- 2 No

Element 14 **Living Arrangement.** Enter the code that indicates the living arrangement for the student during the fall term.

- 1 Living with Parent(s)
- 2 Campus Housing
- 3 Off Campus
- 4 Other

NOTE: Option 4 is only to be used when the student did not complete a needs analysis and therefore living arrangement cannot be determined.

Element 15

Ethnic Origin. Enter the code indicating whether the student is of Hispanic or Latino origin or not.

- 1 Hispanic or Latino origin
- 2 Not Hispanic or Latino origin
- 3 Not answered

NOTE: Even if a student is being reported as Hispanic or Latino, the institution must report a Race code below as well. If the student reported only Hispanic or Latino, report the race as option 7 for Unknown or Not Reported.

Element 16

Race. Select one or more codes indicating the race of the student.

- | | | |
|-------------|---|---|
| Element 16a | 1 | White |
| Element 16b | 2 | Black or African-American |
| Element16c | 4 | Asian |
| Element16d | 5 | American Indian or Alaskan Native |
| Element16e | 6 | International |
| Element16f | 7 | Unknown or Not Reported |
| Element16g | 8 | Native Hawaiian or Other Pacific Islander |

Definitions:

- **Hispanic or Latino:** A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
- **White:** A person having origins in any of the original peoples of Europe, the Middle East or North Africa.
- **Black or African-American:** A person having origins in any of the black racial groups of Africa.
- **Asian:** A person having origins in any of the original peoples of the Far East, Southeast.
- **Asia, or the Indian subcontinent:** For example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
- **American Indian or Alaskan Native:** A person having origins in any of the original peoples of North and South America (including Central America), and who maintains a tribal affiliation or community attachment.
- **Native Hawaiian or Other Pacific Islander:** A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- **International** denotes a person who is not a citizen or permanent resident of the United States and who is in this country on a temporary basis and does not have the right to remain indefinitely.
 - a) Even though a student is allowed to pay the "Resident Tuition" rate due to a waiver, report with the international code.
 - b) Report the ethnicity of students who have applied to or have a petition pending with the Bureau of citizenship and Immigration Services and students who base their residency on visas that allow them to domicile in the U.S.
 - c) Report students who are refugees, asylees, parolees, and those who are here under Temporary Protective Status as international students.
- **Unknown or Not Reported:** The unknown classification should only be used if the student has not selected a racial/ethnic designation or if the student was reported as Hispanic/Latino under item 15 above and did not indicate a race.

Element 17

Classification. Enter the classification of the student at the beginning of the award period. Report the program of study the student is enrolled under at the institution. For Community Colleges, students should be classified based on the course work they are taking at the reporting institution (i.e., only use undergraduate or First-time Entering Student).

1. Undergraduate
2. Graduate (not to be used by community colleges)
3. Professional (not to be used by community colleges)
4. Student with bachelor's or higher degree enrolled in undergraduate program (not to be used by community colleges)
5. First-time Entering Student

Use the following guidelines to classify a student:

1. Undergraduate Student – has not received a bachelor's degree.
2. Graduate Student – has received a bachelor's degree
3. Professional Student – enrolled in law, medicine, dentistry, veterinary medicine, etc.
4. Student with bachelor's or higher degree enrolled in undergraduate program.
5. First-Time Entering Student - has never attended college or other postsecondary institution. A student enrolled in the first 30 semester credit hours or their equivalent, excluding hours taken during dual enrollment in high school and courses for which the student received credit through examination. Students should not be reported as a first-time entering student until they have completed their high school work.

Element 18

Dependency Status. Enter the FAFSA dependency status of the students.

1. Dependent
2. Independent
3. Unknown

NOTE: Option 3 is only to be used when the student did not complete a needs analysis and therefore dependency status cannot be determined.

Element 19

Date of Birth. Enter the month, the day, and all four digits of the year the student was born in the MMDDYYYY format. Day range is 01 through 31 and month range is 01 through 12.

MM = Month; DD = Day; YYYY = Year

Element 20

Residency. Enter the code indicating the residency status of the student. Use the resident status of the student at the beginning of the award period. NOTE: a non-resident who is paying resident tuition rates as the result of a waiver is still a non-resident student.

1. Resident
2. Out-of-state or foreign
3. Unknown
4. National Merit Finalist
5. Non-Immigrant Residents

Use the following guidelines to classify students:

1. Resident – Texas residents; person who was classified as a resident of this state as described under Chapter 54, Subchapter B, Texas Education Code. Sec. 54.052(1) or Sec. 54.052(2).
2. Out-of-state or Foreign – invalid for students receiving any state aid except TPEG.
3. Unknown – to be used ONLY by private institutions and NOT for students receiving any state aid.
4. National Merit Finalist – to be used ONLY by private institutions for students receiving TEG.
5. Non-Immigrant Residents – these are students who qualify as residents under Sec. 54.052(3) and who have to submit affidavits.

NOTE: Public institutions should use the classification assigned by the registrar or admissions officer. If the institution is using an electronic system, make sure the difference between the residents and nonresidents getting to pay the resident rate because of waivers is identified. A nonresident with a waiver is still a nonresident. **Private institutions** must also follow state residency laws and rules. The THECB can provide a residency questionnaire for this purpose.

Classifying Texas Residents: Sec. 54.052.

- (a) Subject to the other applicable provisions of this subchapter governing the determination of resident status, the following persons are considered residents of this state for purposes of this title:
- (1) a person who:
 - (A) established a domicile in this state not later than one year before the census date of the academic term in which the person is enrolled in an institution of higher education; and
 - (B) maintained that domicile continuously for the year preceding that census date;
 - (2) a dependent whose parent:
 - (A) established a domicile in this state not later than one year before the census date of the academic term in which the dependent is enrolled in an institution of higher education; and
 - (B) maintained that domicile continuously for the year preceding that census date; and
 - (3) a person who:
 - (A) graduated from a public or private high school in this state or received the equivalent of a high school diploma in this state; and
 - (B) maintained a residence continuously in this state for:
 - i. the three years preceding the date of graduation or receipt of the diploma equivalent, as applicable; and
 - ii. the year preceding the census date of the academic term in which the person is enrolled in an institution of higher education.

(b) For purposes of this section, the domicile of a dependent's parent is presumed to be the domicile of the dependent unless the person establishes eligibility for resident status under Subsection (a)(3).

Classifying Military Personnel: If the student's home of record with the military is not Texas, they must be reported as nonresidents, even if they are receiving waivers and are allowed to register by paying the resident rate. They are considered nonresidents. If the student's home of record with the military is Texas, they are Texas residents. Check with the institution's admissions or registrar records to confirm if an individual is a resident or simply a nonresident who gets to pay the resident tuition rate.

ALL NON-IMMIGRANT STUDENTS: Students whose residency codes in FAD001 do not match the codes in CBM001 with regard to being a non-immigrant resident or not, will be listed at the end of the edit report and the institution will have to reconcile the codes in order to certify the report.

Element 21a

9-month Expected Family Contribution (EFC). Enter the 9-month amount of expected family contribution as determined using the Federal Methodology (FM). Enter 999999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand calculation based on the Federal Methodology. Under these circumstances, if the student received aid, it should only be merit aid, categorical aid or other aid that does not require a need analysis to determine eligibility. Otherwise, there should be a valid 9-month EFC reported here and an EFC reported for the period the student received aid in data element 28.

Element 21b

NEW: 9-month Cost of Attendance (COA). Enter the 9-month amount of cost of attendance as determined using the Federal Methodology (FM). Enter 999999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand-calculation based on the Federal Methodology. Under these circumstances, if the student received aid, it should only be merit aid, categorical aid or other aid that does not require a need analysis to determine eligibility. Otherwise, there should be a valid 9-month COA reported here and a COA reported for the period the student received aid in data element 27.

Element 21c

MODIFIED: Filler Field. LEAVE BLANK OR USE SPACES.

Element 22

Zip Code of Permanent Home Address. Enter the zip code of the student's permanent address. A response is required if USA address. If outside USA, leave blank.

Element 23

Enrollment Status. Enter the code representing the highest enrollment status of the student during the reported academic year. In cases where the student's enrollment status is different from semester to semester, report the highest enrollment status of the academic year being reported.

1. Full-time
2. $\frac{3}{4}$ -time
3. $\frac{1}{2}$ -time
4. Less than $\frac{1}{2}$ -time

NOTE: Use the following to define enrollment for Undergraduates:

1. Full-time = at least 12 hours per semester
2. $\frac{3}{4}$ -time = 9 to 11 hours per semester
3. $\frac{1}{2}$ -time = 6 to 8 hours per semester
4. Less than $\frac{1}{2}$ -time = fewer than 6 hours per semester

Use the following to define enrollment for Graduates:

1. Full-time = at least 9 hours per semester
2. $\frac{3}{4}$ -time = 7 to 8 hours per semester
3. $\frac{1}{2}$ -time = 4.5 to 6 hours per semester
4. Less than $\frac{1}{2}$ -time = fewer than 4.5 hours per semester

Element 24

Gender. Enter the gender of the student.

- M = Male
F = Female

Element 25

Highest Grade Level Parent 1 Completed. Report the highest grade level the student's 1st parent completed. Indicate the education level for the 1st parent's last complete year of school. For example, if it was their 1st year of college, indicate 3; if it was their senior year in high school, indicate 2.

1. Elementary
2. High School
3. College or Beyond
4. Unknown

Element 26

Highest Grade Level Parent 2 Completed. Report the highest grade level the student's 2nd parent completed. Indicate the education level for the 2nd parent's last complete year of school. For example, if it was their 1st year of college, indicate 3; if it was their senior year in high school, indicate 2.

1. Elementary
2. High School
3. College or Beyond
4. Unknown

Element 27

Cost of Attendance. Enter the gross cost figure. If the student received an exemption or waiver of tuition and/or fees, such adjustments should be reported for elements 6-11 or element 29. Institutions must report full cost. If the student was enrolled for other than 9 months and the institution's system does not allow an adjustment to the cost for the period the student was enrolled, the institution **MUST** provide the enrollment adjustment percentage (EAP) in element 35a of this report. See element 35a for instructions. Enter 99999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand calculation based on the Federal Methodology. Under these circumstances, if the student received aid, it should only be merit aid, categorical aid or other aid that does not require a need analysis to determine eligibility. Otherwise, there should be a valid EFC and COA to report.

Element 28

Expected Family Contribution (EFC). Enter the amount of the student's EFC as determined using the Federal Methodology (FM). This amount may reflect a professional judgment calculation. Report the EFC amount actually used to justify the financial aid award, which may have been

adjusted by the institution via professional judgment. If the student was enrolled for other than 9 months and the institution's system does not allow an adjustment to the family contribution for the period the student was enrolled, the institution MUST provide the enrollment adjustment percentage (EAP) in element 35b of this report. See element 35b for instructions. Enter 999999 as a response only if the student did NOT complete a FAFSA or TASFA and the institution did NOT do an EFC hand-calculation based on the Federal Methodology. Under these circumstances, if the student received aid, it should only be merit aid, categorical aid or other aid that does not require a need analysis to determine eligibility. Otherwise, there should be a valid EFC and COA to report.

Element 29

Tuition and/or Fee Exemptions/Waivers. For Private Institutions ONLY, UNLESS A PUBLIC SCHOOL STUDENT HAS RECEIVED AT LEAST FOUR DIFFERENT TYPES OF EXEMPTIONS OR WAIVERS IN THE SAME YEAR. Enter the amount of tuition and/or fee exemptions or waivers the student received. This should equal the amount of tuition and/or fees the student did NOT pay as a result of receiving an exemption or waiver. Fellowships should be reported under data element 31h, 31i or 31n, depending on the source of funding. Awards through the Educational Aide Exemption Program or Temporary Assistance for Needy Families (TANF) Program should NOT be reported here, unless the student has received 3 other exemptions or waivers during the same year. Enter 00000 if the student received no exemptions or waivers. Remember, all exemption and waiver recipients should be reported in the FAD001, whether or not the recipient completed a FAFSA or TASFAA.

Public Institutions should use Fields 6 through 11 and enter 00000 here, unless fields 6 through 11 are already used and the student received more than 3 different types of exemptions or waivers in the same year.

Element 30

Categorical Aid. Enter the amount of aid brought to the institution by the student and not packaged by the financial aid office (the school did not get to select the recipient). This would include such things:

1. Workforce Investment Act (formerly JTPA)
2. Texas Rehabilitation Commission
3. Texas Workforce Commission (formerly Texas Employment Commission) payments
4. The Houston Livestock and Rodeo Scholarship
5. VA benefits
6. Outside scholarships

Texas Tomorrow Plan and 529 Savings Plan should NOT be reported here or elsewhere in the database since both are part of the EFC calculation.

GRANTS AND SCHOLARSHIPS

Element 31a	MODIFIED: Federal Pell. Report the federal Pell amount the student received. Student cannot be reported as a non-immigrant resident student (option 5 under data element 20, Residency).
Element 31b	Federal SEOG. Report the federal SEOG amount, including the match, the student received. Student cannot be reported as a non-immigrant resident student (option 5 under data element 20, Residency).
Element 31c	Texas Public Educational Grant (TPEG). Report the TPEG amount the student received; For Public Institutions ONLY.
Element 31d	FILLER. Enter zeroes. Error if greater than 0.
Element 31e	MODIFIED: Tuition Equalization Grant (TEG). Report the TEG amount the student received; For Private Institutions ONLY. When reporting TEG students, the SSNs or IDs and award amounts must match to Student-by-Student Year-End Reports submitted to the THECB. Student MUST be reported as: <ol style="list-style-type: none">1. Resident, National Merit Finalist or Non-Immigrant Resident (options 1, 4, or 5 under data element 20, Residency), and2. Full-time, $\frac{3}{4}$-time or $\frac{1}{2}$-time (options 1, 2, or 3 under data element 23, Enrollment Status).
Element 31f	FILLER. Enter zeroes. Error if greater than 0.
Element 31g	HB3015 Grants/Scholarships. Report the amount of grants and scholarships funded through designated tuition set-asides that the student received; Public Universities, State colleges or Public Health-Related Institutions Only. Student MUST be reported as a Texas Resident or Non-Immigrant Resident ONLY (options 1 or 5 under data element 20, Residency).
Element 31h	Merit-based aid funded by private donations to the institution. Report the amount of merit-based aid the student received. This includes gift aid provided to the institution by private donors and used to award merit-based grants or scholarships. Merit is based on such things as GPA, talent or performance and not need.
Element 31i	Institution funded Merit-based Gift Aid. Report the amount of institution funded merit-based gift aid the student received. This includes aid generated from budgeted/unrestricted funds not reported elsewhere that is provided by the state, federal government or institution used to make merit-based awards. Merit is based on things such as: GPA, talent or performance but not need. Includes but not limited to athletic scholarships.
Element 31j	FILLER. Enter zeroes. Error if greater than 0.
Element 31k	FILLER. Enter zeroes. Error if greater than 0.

- Element 31l **Student Deposit Scholarship.** Report the Student Deposit Scholarship amount the student received; Public Institutions ONLY. Student MUST be reported as a Texas Resident or Non-Immigrant Resident ONLY (options 1 or 5 under data element 20, Residency).
- Element 31m **FILLER.** Enter zeroes. Error if greater than 0.
- Element 31n **Other Scholarships or Grants.** Report the amount of other scholarships or grants the student received. This would include any need-based awards not reported elsewhere in the report. Includes programs such as:
1. Fifth-year Accounting Scholarship
 2. GEAR UP

Element 31o **MODIFIED: TEXAS Grant.** Report the TEXAS Grant amount the student received. When reporting a TEXAS Grant student, the SSNs or IDs and award amounts must match to student-by-student end of year report submitted to the THECB. Student MUST be reported as:

1. Undergraduate or first-time entering Student (options 1 or 5 under data element 17, Classification), and
2. Full-time or $\frac{3}{4}$ -time (options 1 or 2 under data element 23, Enrollment Status); and
3. Texas resident or non-immigrant resident (options 1 or 5 under data element 20, Residency).

NOTE: When reporting aid used to meet the shortfall between the state TEXAS Grant amount and actual tuition and fees, the amount should be reported in the field relevant to the funds (Pell for Pell, TPEG for TPEG, etc). If institution aid is used, report in data element 31n (Other Scholarships or Grants).

Element 31p **MODIFIED: TEOG.** Report the TEOG amount the student received; Public State Colleges, Public Community Colleges and Technical Colleges ONLY. When reporting TEOG students, the SSNs or IDs and award amounts must match to student-by-student end of year report submitted to the THECB. Student MUST be reported as:

1. Undergraduate or first-time entering student (options 1 or 5 under data element 17, classification); and
2. Full-time, $\frac{3}{4}$ -time or $\frac{1}{2}$ -time (options 1, 2, or 3 under data element 23, enrollment status); and
3. Texas resident or non-immigrant resident ONLY (options 1 or 5 under data element 20, Residency).

Element 31q **FILLER.** Enter zeroes. Error if greater than 0.

WORK-STUDY

Element 32a **Federal Work Study.** Report the Federal Work-Study amount earned by the student; includes federal funds plus match. Student CANNOT be reported as a non-immigrant resident (option 5 under data element 20, Residency).

- Element 32b **Texas College Work Study.** Report the Texas College Work Study amount earned by the student; **includes state funds plus match and any excess funds.** Student **MUST** be reported as:
1. Full-time, $\frac{3}{4}$ -time or $\frac{1}{2}$ -time (options 1, 2, or 3 under data element 23, Enrollment Status); and
 2. Texas Resident (option 1 under data element 20, Residency).
- Element 32c **Need-based Institutional Work Study.** Report the Need-based Institutional Work-Study amount the student received.
- Element 32d **AmeriCorps Program.** Report the AmeriCorps amount the student received. Student **CANNOT** be reported as a non-Immigrant (option 5 under data element 20).
- Element 32e **HB3015 Work-Study.** Report the HB3015 Work-Study amount the student received; Public University, state colleges or Health-Related Institutions **ONLY**. This includes Work-Study funded through designated tuition set-asides. Student **MUST** be reported as a Texas Resident or Non-Immigrant Resident **ONLY** (options 1 or 5 under data element 20, Residency).
- Element 32f **Texas Work-Study Mentorship Program.** Report the Texas Work-Study Mentorship amount the student received. Student **MUST** be reported as:
1. Full-time, $\frac{3}{4}$ -time or $\frac{1}{2}$ -time (options 1, 2, or 3 under data element 23, Enrollment Status); and
 2. Texas Resident (option 1 under data element 20, Residency).

LOANS

- Element 33a** **NEW: TASSP (Texas Armed Services Scholarship Program).** Report the Texas Armed Services Scholarship Program amount the student received. Student **MUST** be reported as an undergraduate or first-time entering student (options 1 or 5 under data element 17, Classification).
- Element 33b **FILLER.** Enter zeroes. Error if greater than 0.
- Element 33c **Perkins Loans.** Report the Perkins Loan amount the student received. Student **CANNOT** be reported as a non-immigrant resident (option 5 under data element 20, Residency).
- Element 33d **FILLER.** Enter zeroes. Error if greater than 0.
- Element 33e **FILLER.** Enter zeroes. Error if greater than 0.
- Element 33f **College Access Loan (CAL).** Report the CAL amount the student received. Student must be reported as:
1. Full-time, $\frac{3}{4}$ -time or $\frac{1}{2}$ -time (options 1, 2, or 3 under data element 23, Enrollment Status); and
 2. Texas resident or non-immigrant resident **ONLY** (options 1 or

5 under data element 20, Residency).

- Element 33g **Primary Care Student Loans.** Report the Primary Care Student Loan amount the student received. Student CANNOT be reported as a non-immigrant resident (option 5 under data element 20, Residency).
- Element 33h **PLUS Federal Direct Loans.** Report the PLUS Federal Direct Loan amount the student received, undergraduate and graduate. Student CANNOT be reported as a non-immigrant resident (option 5 under data element 20, Residency).
- Element 33i **Subsidized Federal Direct Loans.** Report the Subsidized Federal Direct Loan amount the student received. Student CANNOT be reported as a non-immigrant resident (option 5 under data element 20, Residency).
- Element 33j **Other Long-Term Loans.** Report the total long-term loan amounts the student received that has not been reported elsewhere. This would include institutional long-term loan sources not reported elsewhere, state-based long-term loan sources not reported elsewhere, and private, alternative educational loans certified by the school or which the school has been made aware. Only include loans where the student is the borrower.
- Element 33k **Unsubsidized Federal Direct Loans.** Report the Unsubsidized Federal Direct Loan amount the student received. Student CANNOT be reported as a non-immigrant resident (option 5 under data element 20, Residency).
- Element 33l** **MODIFIED: Be On Time Loan Program (BOT).** Report the BOT amount the student received. Student MUST be reported as:
1. Undergraduate or first-time entering student (options 1 or 5 under data element 17, Classification); and
 2. Full-time (option 1 under data element 23, Enrollment Status), and
 3. Texas resident (option 1 under data element 20, Residency).
- Element 33m **HB3015 Loans.** Report the HB3015 Loan amount the student received; Public Universities, State Colleges or Health-Related Institutions ONLY. This includes loans funded through designated tuition set-asides. Student MUST be reported as a Texas resident or non-immigrant resident ONLY (options 1 or 5 under data element 20, Residency).

OTHER PROGRAMS

- Element 34a** **MODIFIED: Teach Grant.** Report the Teach Grant amount the student received. Student CANNOT be reported as a non-immigrant resident (option 5 under data element 20, Residency).
- Element 34b **HB3015 Other.** Report any other HB3015 amount the student received; Public Universities, State Colleges or Health-Related Institutions ONLY. This includes other funds funded through designated tuition set-asides. Student MUST be reported as a Texas resident or non-immigrant resident ONLY (options 1 or 5 under data element 20, Residency).

ENROLLMENT ADJUSTMENT PERCENTAGE (EAP)

- Element 35a **EAP for Cost of Attendance.** Report the percentage by which the student's cost (data element 27) should be multiplied to derive accurate figures for the period of enrollment. The percentage is expressed in whole numbers (no decimal points). If the cost figure does not need adjustment and is accurate for the student's period of enrollment, enter three zeroes (000).
- Example:** Student A's reported cost figure is for 9 months, but the student only attended one quarter. The EAP for cost should be 033 ($3/9 = 0.33$), indicating that in determining unmet need for this student only 33 percent of the reported family contribution figure should be compared to the reported financial aid resources. Student B is reported based on 9 month cost, but they were enrolled for 12 months. EAP for cost should be 133 ($12/9 = 1.33$).
- Element 35b **EAP for Total Family Contribution.** Report the percentage by which the student's total family contribution (data element 28) should be multiplied to derive accurate figures for the period of enrollment. The percentage is expressed in whole numbers (no decimal points). If the total family contribution figure does not need adjustment and is accurate for the student's period of enrollment, enter three zeroes (000).
- Example:** A student's recorded EFC figure is for 9 months but the student only attended one quarter. The EAP for Total Family Contribution should be 033, indicating that in determining unmet need for this student only 33 percent of the reported family contribution figure should be compared to the reported financial aid resources.

STUDENT ID NUMBER

- Element 36 **Student ID Number.** Report the Student's ID here ONLY if student has both SSN and Student ID; report SSN in Element 1d; ID here in Element 36. BE CONSISTENT WITH IDs REPORTED ON ANY OTHER REPORTS SUBMITTED. The institution will receive a listing within the error report for any student(s) found on the FAD001 that were not reported on the CBM report. Alpha characters are now acceptable and again must match to what was reported on the CBM reports.

DATABASE STRUCTURE

File Format: FIXED LENGTH TEXT

Data Element	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD INDEXED?	COLUMN POSITION	
					Beginning	Ending
1A	REPORTCODE	CHARACTER	1	N	1	1
1B	FICE	NUMERIC	6	N	2	7
1C	FISCALYEAR	NUMERIC	4	N	8	11
1D	STUDENTID	CHARACTER	9	Y	12	20
2	STDNT_SPOUSE_AGI	NUMERIC	6	N	21	26
3	PARENT_AGI	NUMERIC	6	N	27	32
4	MODIFIED - TOP 10% SCHOLARSHIP	NUMERIC	5	N	33	37
5	UNMATCHED_REASON	CHARACTER	2	N	38	39
6	TUIT_EXEMPT_AWD1	NUMERIC	5	N	40	44
7	TUIT_EXEMPT_CODE1	CHARACTER	2	N	45	46
8	TUIT_EXEMPT_AWD2	NUMERIC	5	N	47	51
9	TUIT_EXEMPT_CODE2	CHARACTER	2	N	52	53
10	TUIT_EXEMPT_AWD3	NUMERIC	5	N	54	58
11	TUIT_EXEMPT_CODE3	CHARACTER	2	N	59	60
12	FILLER	CHARACTER	15	N	61	75
13	MODIFIED- NEEDANALYSIS USED	CHARACTER	1	N	76	76
14	LIVING ARRANGEMENT	CHARACTER	1	N	77	77
15	NEW ETHNIC ORIGIN	CHARACTER	1	N	78	78
16a	RACE 1: WHITE	CHARACTER	1	N	79	79
16b	RACE 2: BLACK	CHARACTER	1	N	80	80
16c	RACE 4: ASIAN	CHARACTER	1	N	81	81
16d	RACE 5: INDIAN	CHARACTER	1	N	82	82
16e	RACE 6: INTERNATIONAL	CHARACTER	1	N	83	83
16f	RACE 7: UNKNOWN	CHARACTER	1	N	84	84
16g	RACE 8: HAWAIIAN	CHARACTER	1	N	85	85
17	CLASSIFICATION	CHARACTER	1	N	86	86
18	DEPENDENCY_STATUS	CHARACTER	1	N	87	87
19	DATE_OF_BIRTH	CHARACTER	8	N	88	95
20	RESIDENCY	CHARACTER	1	N	96	96
21a	9MONTH_EFC	NUMERIC	6	N	97	102
21b	NEW - 9MONTH_COA	NUMERIC	6	N	103	108
21c	MODIFIED - FILLER	CHARACTER	41	N	109	149
22	ZIP_ADDRESS	CHARACTER	9	N	150	158
23	ENROLLMENT_STATUS	CHARACTER	1	N	159	159
24	GENDER	CHARACTER	1	N	160	160
25	PARENT1_HIGH_GRADE	CHARACTER	1	N	161	161
26	PARENT2_HIGH_GRADE	CHARACTER	1	N	162	162
27	COST_OF_ATTEND	NUMERIC	5	N	163	167
28	EXP_FAMILY_CONT	NUMERIC	6	N	168	173

Data Element	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD INDEXED?	COLUMN POSITION	
					Beginning	Ending
29	TUIT_EXEMPT_WAIV	NUMERIC	5	N	174	178
30	CATEGORICAL_AID	NUMERIC	5	N	179	183
31a	MODIFIED -FEDERAL_PELL	NUMERIC	5	N	184	188
31b	FEDERAL_SEOG	NUMERIC	5	N	189	193
31c	TPEG	NUMERIC	5	N	194	198
31d	FILLER	NUMERIC	5	N	199	203
31e	MODIFIED -TEG	NUMERIC	5	N	204	208
31f	FILLER	NUMERIC	5	N	209	213
31g	HB3015 GandS	NUMERIC	5	N	214	218
31h	MERIT TO INST	NUMERIC	5	N	219	223
31i	MERIT BY INST	NUMERIC	5	N	224	228
31j	FILLER	NUMERIC	5	N	229	233
31k	FILLER	NUMERIC	5	N	234	238
31l	STUDENT_DEP_SCHLR	NUMERIC	5	N	239	243
31m	FILLER	NUMERIC	5	N	244	248
31n	OTHER_SCHLR_GRANT	NUMERIC	5	N	249	253
31o	MODIFIED-TEXAS_GRANT_PGM	NUMERIC	5	N	254	258
31p	MODIFIED - TEOG	NUMERIC	5	N	259	263
31q	FILLER	NUMERIC	5	N	264	268
32a	FEDERAL_WK_STUDY	NUMERIC	5	N	269	273
32b	TEXAS_WORK_STUDY	NUMERIC	5	N	274	278
32c	NEED_BASED_INST	NUMERIC	5	N	279	283
32d	AMERICORPS	NUMERIC	5	N	284	288
32e	HB3015 WS	NUMERIC	5	N	289	293
32f	TXWS_MENTORSHIP	NUMERIC	5	N	294	298
33a	NEW - TASSP	NUMERIC	5	N	299	303
33b	FILLER	NUMERIC	5	N	304	308
33c	PERKINS_LOAN	NUMERIC	5	N	309	313
33d	FILLER	NUMERIC	5	N	314	318
33e	FILLER	NUMERIC	5	N	319	323
33f	CAL	NUMERIC	5	N	324	328
33g	PRIM_CARE_STD_LN	NUMERIC	5	N	329	333
33h	PLUS FEDERAL DIRECT	NUMERIC	5	N	334	338
33i	SUB_FED_DIR_LNS	NUMERIC	5	N	339	343
33j	OTHER_LT_LOANS	NUMERIC	5	N	344	348
33k	UNSUB_FED_DIR_LNS	NUMERIC	5	N	349	353
33l	MODIFIED - BOT	NUMERIC	5	N	354	358
33m	HB3015 LOANS	NUMERIC	5	N	359	363
34a	MODIFIED - TEACH GRANT	NUMERIC	5	N	364	368
34b	HB3015 OTHER	NUMERIC	5	N	369	373
35a	ENROLL_ADJ_COA	NUMERIC	3	N	374	376
35b	ENROLL_ADJ_TFC	NUMERIC	3	N	377	379
36	INSTITUTION STUDENT ID	CHARACTER	9	N	380	388

ELECTRONIC SUBMISSION

**Transmitting a File
Header Record Format
Trailer Record Format**

TRANSMITTING A FILE

The submission of the FAD001 report must be done by electronic transfer. If any data items need to be changed, make the changes to the file and re-submit it as a complete report. State and federal security requirements mandate that confidential data be submitted or retrieved via a secure process such as HTTPS (secure communication protocol for the internet) or SFTP (the secure FTP server process). Due to the age of the THECB SFTP server, the operating system is no longer supported and THECB transitioned to a new secure file transfer software called MOVEit® DMZ.

Beginning May 27, 2016, **all files** are required to be submitted or retrieved via the MOVEit® DMZ portal. Institutions will be able to access the secure site using a web browser or a SFTP client (FileZilla, WinSCP, SSH Secure, etc.). Detailed instructions for MOVEit® DMZ are available along with a basic walk-through demonstration. [MOVEit® DMZ Instructions](#) and [Demo](#). In order to submit FAD001 files, log into MOVEit® portal, <https://dsv.thecb.state.tx.us/>, with a username and password provided to the Director of Financial Aid by THECB. If the institution has any questions or issues logging into MOVEit, contact Financial Aid Services for assistance at 1-800-242-3062 or by email at Grantinfo@thecb.state.tx.us.

Note: Each of the two software systems are located on different servers. The OUTPUT folder on the SFTP server and the OUTPUT folder on the MOVEit® server are separate. Any data submitted through MOVEit® will only produce an edit summary report that is available in the OUTPUT folder on MOVEit®.

Institutions may create the data file containing a header record, data records, and a trailer record by whatever method available. The actual file name is not critical. To avoid possible confusion or loss of a file by writing over a previously transferred file of the same name, the THECB recommends that institutions make each file transferred a unique name.

The header record contains information to identify the data in the file and the length of the data records. The trailer record contains a record count of the actual number of data records, not including the header and trailer records, in the file. The record count in the trailer record will be used to verify that all records were transferred through the communication system.

HEADER RECORD FORMAT

Header Record Example: **HY2K000781FAD00112016C038801500**

		Value	Position	Length
Item 1	File Label-Id	HY2K	1	4
Item 2	Institution Code FICE - (Numeric)	6 digits (i.e. 000781)	5	6
Item 3	Data Identifier	FAD001	11	6
Item 4	Semester in which report is due (Numeric)	Fall = 1	17	1
Item 5	Year – YYYY (4 digits) State fiscal year covered by report (Numeric)	2016	18	4
Item 6	Record Type	(C)omplete Report	22	1
Item 7	Length of Data records (Numeric)	0388	23	4
Item 8	Number of Aid Applicants* (Numeric) (right justified and leading zero filled)	5 digits (i.e. 01000)	27	5

***The number of records in the file should equal the number of aid applicants reported in the header record.**

TRAILER RECORD FORMAT

Trailer example: **EOF101500**

	Value	Position	Length
Item 1	File Label-ID	EOF1	1 4
Item 2	Record Count* (Numeric)	Number of data records in file not including "Header" And "Trailer" records	5 5
	Reserved for Future Use (spaces)	10	71
Total Record Size			80

***The number of records in the file should equal the number of aid applicants reported in the header record.**

RECEIPT AND PROCESSING OF REPORTS

**File Receipt Confirmation
Edit Report Notification
Retrieving an Edit Report
Sample Error Report**

FILE RECEIPT CONFIRMATION

Once a file has been submitted, the institution will receive a file receipt confirmation email, shown below, which is sent to the institution's "generic" email address. The confirmation just indicates that the file was received. If a file receipt confirmation is not received, please contact Tanya Treviño (tanya.trevino@thecb.state.tx.us).

Sample of File Receipt Confirmation Email:

COORDINATING BOARD TEST SYSTEM
Date Time: 10/11/2016 2:31:05 PM

SOURCE FILE: HY2K_test_Fads.wri
FILE RECEIVED FROM FICE CODE: 009999
INPUT FICE CODE: 009999
REPORT_ID: FAD001
SEMESTER: 1
YEAR: 2016
TYPE: C
SIZE: 0388
OTHER INFO: 00360
NUMBER OF RECORDS REPORTED IN 'EOF1' RECORD: 00360
NUMBER OF DATA RECORDS ACTUALLY RECEIVED: 1
TRAILER RECORD IN FILE: EOF100360

This is a confirmation of file receipt only. Editing of your file will take place during the next production run.
THECB Contact: Tanya Treviño - EDUCATION DATA CENTER 512-427-6123

EDIT REPORT NOTIFICATION

Once the report has been processed through the THECB server, the institution will receive an edit report email notification that will be sent to the institution's "generic" email address. The email notification, indicates the edit report is ready to be reviewed. The actual edit report can be found when logging in to **MOVEit**® for the institution. Instructions are provided below under "Retrieving the Edit Report".

Sample of Edit Report Notification Email:

-----Original Message-----

From: EDTS-CB-ADMIN@theccb.state.tx.us [mailto:EDTS-CB-ADMIN@theccb.state.tx.us]
Sent: Tuesday, October 11, 2016 6:54 PM
To: fad-mail@college.edu
Cc: EDTS-CB-ADMIN
Subject: 009999 EDIT REPORTS ON EDC SERVER
Importance: High

REPORT FILE FAD001_FALL_2016_I_009999_201610111820584.TXT IS LOCATED IN THE OUTPUT DIRECTORY OF YOUR ACCOUNT.

NOTE: This notification does not ensure that the edit report will be available for immediate viewing. There may be a 15 minute delay due to the security processes in place at the Texas Higher Education Coordinating Board.

The following report file name is an example from above:

FAD001_FALL_2016_I_009999_201610111820584.TXT

FAD001 - FAD report type

_ - used as a separator

FALL – the report semester

_ - used as a separator

2016 - Report Year

_ - used as a separator

I - Institution type (can also be J, H or I)

_ - used as a separator

009999 - FICE code of institution

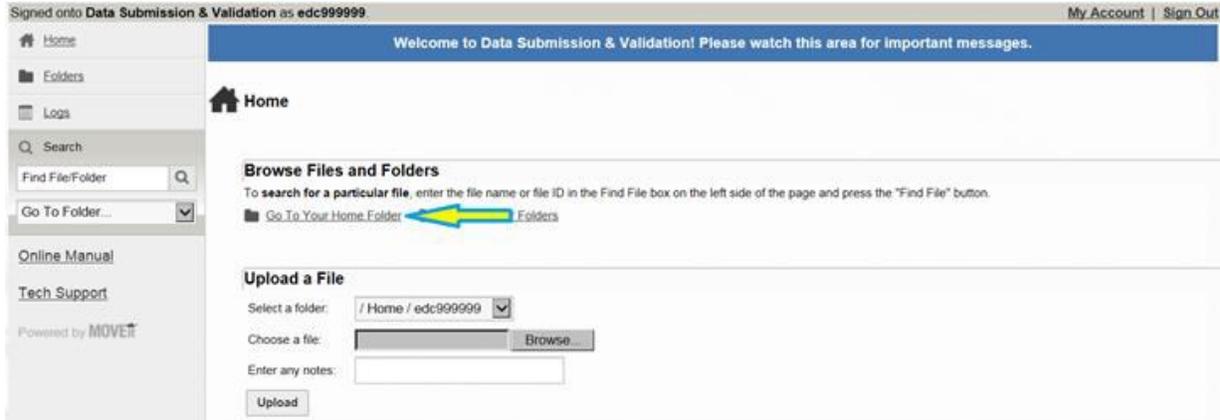
_ - used as a separator

201610111820584 – Date Time stamp (Year, Month, Day, Hour, Minute, Second, Tenth of Second)

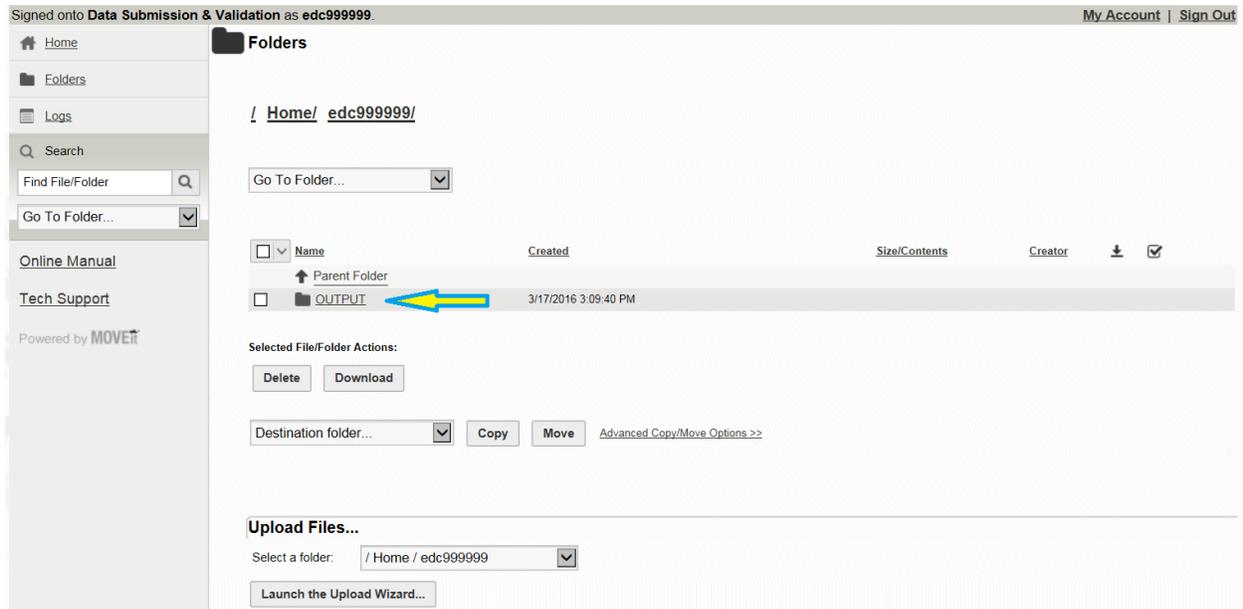
RETRIEVING AN EDIT REPORT

[MOVEit® Instructions](#) – Edit Report download (a 90-day limit on the output folder will be enforced)

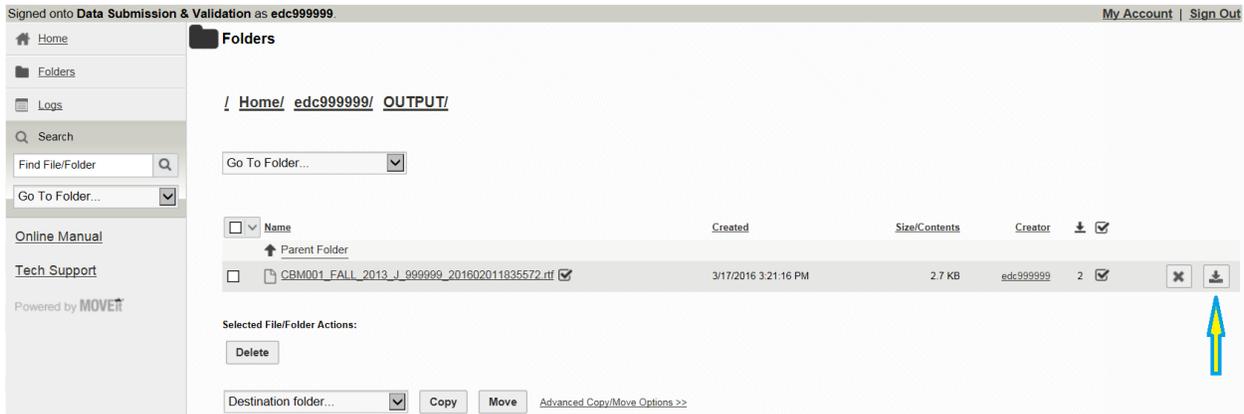
1. Go to the [MOVEit® portal](#), and sign in using the institution's MOVEit® account information.
2. Go to the Home Folder.



3. Go to the FADB output folder.



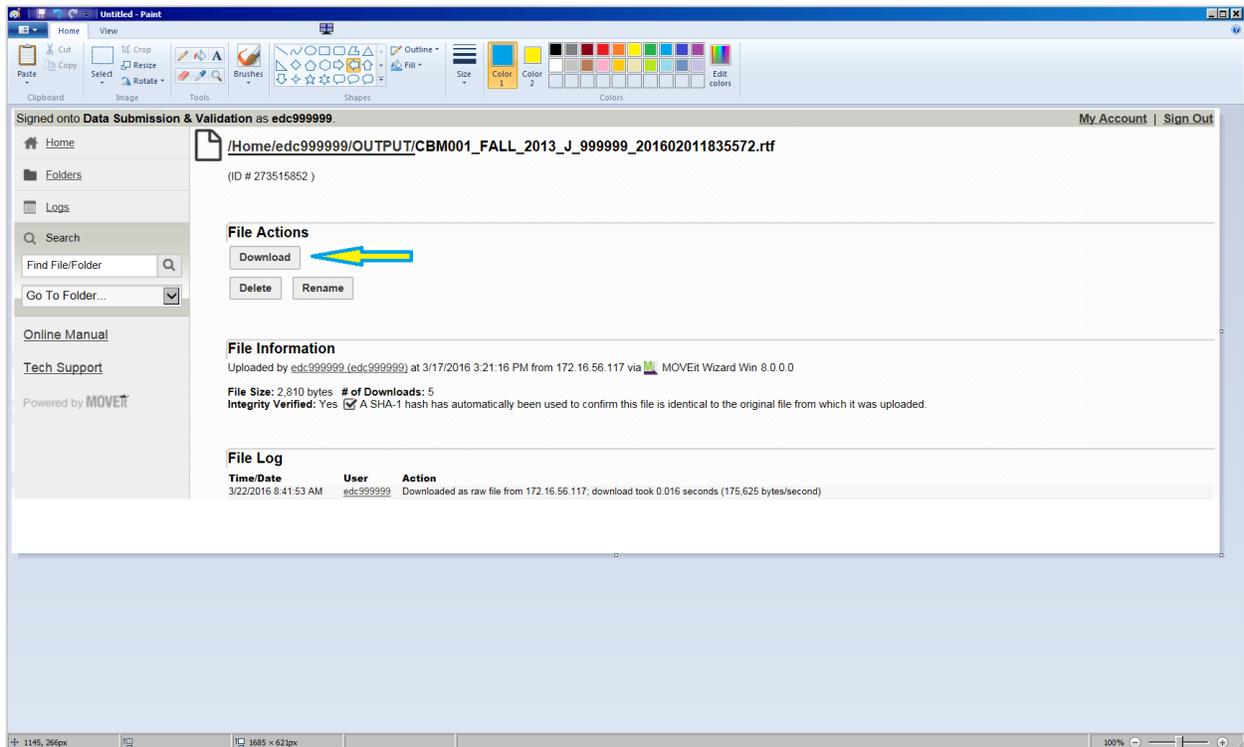
4. Choose the edit report file to be downloaded and click on the download button to the right.



5. A prompt will appear to open or save the file.



6. Another option is to double-click on the file and then click on the download button on the page that follows. A prompt will appear to open or save the file.



7. Sign out of the MOVEit® account at the end of the session.

SAMPLE EDIT REPORT WITH ERRORS AND WARNINGS

Edit00v00 TEXAS HIGHER EDUCATION COORDINATING BOARD Page 1
 FAD001 EDIT SUMMARY FROM RunDate: 10/11/2016 Time: 18:20:47
 U. of THECB 009999

of Warnings

that should be correct

of Errors

	NORMAL RANGE	QUESTIONABLE VALUES	ERROR VALUES
ITEM 01A ReportCode	5,775	0	0
ITEM 01B Fice	5,775	0	0
ITEM 01C FiscalYear	5,775	0	0
ITEM 01D StudentID	5,704	71	0
ITEM 02 Stdnt_Spouse_AGI	5,074	701	0
ITEM 03 Parent_AGI	5,061	714	0
ITEM 04 Top 10% scholarship	5,775	0	0
ITEM 05 Unmatched Reason	5,775	0	0
ITEM 06 EXEMPT_WAIV_AWARD_A	5,775	0	0
ITEM 07 EXEMPT_WAIV_CODE_A	5,775	0	0
ITEM 08 EXEMPT_WAIV_AWARD_B	5,775	0	0
ITEM 09 EXEMPT_WAIV_CODE_B	5,775	0	0
ITEM 10 EXEMPT_WAIV_AWARD_C	5,775	0	0
ITEM 11 EXEMPT_WAIV_CODE_C	5,775	0	0
ITEM 13 NeedAnalysis Used	5,773	0	2
ITEM 14 Living Arrangement	5,774	0	1
ITEM 15 Ethnic Origin	5,775	0	0
ITEM 16 Race	5,775	0	0
ITEM 16A White	5,775	0	0
ITEM 16B Black	5,775	0	0
ITEM 16C Asian	5,775	0	0
ITEM 16D Native American-Alaskan	5,775	0	0
ITEM 16E International	5,775	0	0
ITEM 16F Unknown	5,775	0	0
ITEM 16G PacificIslander-NativeHawaiian	5,775	0	0
ITEM 17 CLASSIFICATION	5,775	0	0
ITEM 18 DEPENDENCY_STATUS	5,775	0	0
ITEM 19 DATE_OF_BIRTH	5,774	1	0
ITEM 20 RESIDENCY	5,775	0	0
ITEM 21A 9MONTH_EFC	5,775	0	0
ITEM 21B 9MONTH_COA	5,775	0	0
ITEM 21C Filler	5,775	0	0
ITEM 22 ZIP_ADDRESS	5,768	0	7
ITEM 23 ENROLLMENT_STATUS	5,775	0	0
ITEM 24 GENDER	5,775	0	0
ITEM 25 PARENT1_HIGH_GRADE	5,775	0	0
ITEM 26 PARENT2_HIGH_GRADE	5,775	0	0
ITEM 27 COST_OF_ATTEND	5,775	0	0
ITEM 28 TOTAL_FAMILY_CONT	5,774	0	1
ITEM 29 TUIT_EXEMPT_WAIV	5,775	0	0
ITEM 30 CATEGORICAL_AID	5,775	0	0
ITEM 31A FEDERAL_PELL	5,772	0	3
ITEM 31B FEDERAL_SEOG	5,774	0	1
ITEM 31C TPEG	5,775	0	0
ITEM 31D Filler	5,775	0	0
ITEM 31E TEG	5,775	0	0
ITEM 31F FILLER	5,775	0	0
ITEM 31G HB3015 Gands	5,772	0	3
ITEM 31H Merit to Inst	5,775	0	0
ITEM 31I Merit by Inst	5,775	0	0
ITEM 31J FILLER	5,775	0	0
ITEM 31K FILLER	5,775	0	0
ITEM 31L STUDENT_DEP_SCHLR	5,775	0	0
ITEM 31M Academic Competitive Grant	5,775	0	0
ITEM 31N OTHER_SCHLR_GRANT	5,775	0	0
ITEM 31O TEXAS_GRANT_PGM	5,775	0	0
ITEM 31P TEOG	5,775	0	0
ITEM 31Q FILLER	5,775	0	0
ITEM 32A FEDERAL_WK_STUDY	5,774	0	1
ITEM 32B TEXAS_WORK_STUDY	5,775	0	0
ITEM 32C NEED_BASED_INST	5,775	0	0
ITEM 32D AMERICORPS	5,775	0	0
ITEM 32E HB3015 WS	5,775	0	0
ITEM 32F Texas Work-Study Mentorship Pgm	5,775	0	0
ITEM 33A TASSP	5,775	0	0
ITEM 33B FILLER	5,775	0	0
ITEM 33C PERKINS_LOAN	5,775	0	0

Data Elements that need to be added to the beginning of each of your student records.

Data Element and Field Name

ITEM 33D FILLER	5,775	0	0
ITEM 33E FILLER	5,775	0	0
ITEM 33F CAL	5,774	0	1
ITEM 33G PRIM_CARE_STD_LN	5,775	0	0
ITEM 33H PLUS Federal Direct Loans	5,775	0	0
ITEM 33I SUB_FED_DIR_LNS	5,775	0	0
ITEM 33J OTHER_LT_LOANS	5,775	0	0
ITEM 33K UNSUB_FED_DIR_LNS	5,775	0	0
ITEM 33L BOT	5,775	0	0
ITEM 33M HB3015 Loans	5,775	0	0
ITEM 34A TEACH Grant	5,775	0	0
ITEM 34B HB3015 Other	5,775	0	0
ITEM 35A ENROLL_ADJ_COA	5,775	0	0
ITEM 35B ENROLL_ADJ_TFC	5,775	0	0
ITEM 36 Institution Student Number	5,775	0	0
ITEM Computed and Temp Fields	5,775	0	0
ITEM X1A COA	5,775	0	0
ITEM X1B EFC	5,775	0	0
ITEM X1C Total Income	5,775	0	0
ITEM X1D Income Switch	5,775	0	0
ITEM X1E Total Gift	5,775	0	0
ITEM X1F Total Gift Switch	5,775	0	0
ITEM X1G Total Aid	5,775	0	0
ITEM X1H EFC Switch	5,775	0	0
ITEM X1I Totalefc	5,775	0	0

Discrepancy is of records read minus of records in trailer

TOTAL Report Records	5,775	Total records reported in file
CONTROL TOTAL	5,775	DISCREPANCY 0
Total Recs on Db	5,775	Total records reported in file
Number Of Non-Unique/ Duplicated Id's	0	Number of duplicate SSN in the file
Number Of Duplicate Records	0	Number of records with same data in the file
Number Of Relative Duplicate Questionable	0	Doesn't apply to FAD001--DISREGARD
Number Of Relative Duplicate Error	0	Doesn't apply to FAD001--DISREGARD
Total Error Recs on Db	16	Number of records with errors
Total Questionable Recs on Db	707	Number of records with warnings
Total Non Error Records on Db	5,759	Number of "good" records
Total Rejected Records	0	Number of records rejected
Total Applicants	5,775	Number of applicants reported in header record
Total Recipients	5,775	Number of records being reported
Count of Gift Aid only	686	Number of recipients that received gift aid only
Need Analysis Used(Item13). The count or value '2' reported are < 25%		
0.1219047619047619047619047619 Questionable REF1983		
Percent of Applicants receiving aid	1.000	Number of Recipients divided by Number of Applicants
Students Receiving Financial Aid	5,360	Number of students reported with data in items 31a -34b
Students with Zero Family Contribution	1,568	Number of Students being reported with EFC = 0
*** Total Students with Zero Family contribution Is not greater than Students receiving financial aid!		

Edit00v00 TEXAS HIGHER EDUCATION COORDINATING BOARD Page 1
 FALL 2016 FADS Race and Ethnicity of Regularly Enrolled Students
 U. of THECB 00999 RunDate: 10/11/2016 Time: 18:20:47

White	3,184
Black	539
Hispanic	1,745
Asian	56
American Indian/Alaskan Native	32
Native Hawaiian/Other Pacific Islander	9
Multi-Racial	115
International	3
Ethnic Origin/Race Unknown	92
Total	5,775
Multi-Racial where Race is Black	55

This report will only appear when there are no errors.

Gender			
Male	2,618	Gender Field breakdown (Data Element 24)	←
Female	3,141		
Total	5,759		
Age			
Under 17	2	DOB Field breakdown (Data Element 19)	←
17	36		
18	91		
19-21	3,041		
22-24	1,474		
25-30	616		
31-35	211		
36-50	220		
51-64	57		
65 and Older	11		
UnReported(not in avg)	0		
Average Age	23.1		
Total	5,759		
Needs Analysis Used			
Completed either a FAFSA or TASFA	5,063	Needs Analysis Field breakdown (Data Element 13)	←
None used to receive aid	696		
Total	5,759		
Classification			
Undergraduate/NO Bachelors	4,369	Classification Field breakdown (Data Element 17)	←
Graduate/Bachelors	0		
Professional/Law Dentistry Vet etc	0		
Bachelors or greater/in Ug Program	0		
First-time Entering Student	1,390		
Total	5,759		
Living Arrangement			
With Parents	699	Living Arrangement Field breakdown (Data Element 14)	←
Campus Housing	1,920		
Off Campus	2,447		
Other	693		
Total	5,759		
Dependency Status by Title IV Definition			
Dependent	3,655	Dependency Status Field breakdown (Data Element 18)	←
Independent	1,411		
Unknown	693		
Total	5,759		
Residency			
Resident	5,721	Residency Field breakdown (Data Element 20)	←
Out of State or Foreign	36		
Unknown	0		
National Merit Finalist	0		
Non-immigrant Resident (1403)	2		
Total	5,759		
Enrollment Status			
Full Time	4,982	Enrollment Status Field breakdown (Data Element 23)	←
3/4 Time	321		
1/2 Time	316		
Less Than 1/2 Time	140		
Total	5,759		
Parent1 Highest Grade Level			
Elementary	355	1 st Parent's Highest Grade Field breakdown (Data Element 25)	←
High School	2,286		
College or Beyond	2,107		
Unknown	1,011		
Total	5,759		

Parent2 Highest Grade Level
 Elementary
 High School
 College or Beyond
 Unknown
 Total

490
2,372
1,717
1,180
5,759

← 2nd Parent's Highest Grade Field breakdown (Data Element 26)

Total Aggregate Income

'A'	0	-	9,999	802
'B'	10,000	-	14,999	273
'C'	15,000	-	19,999	304
'D'	20,000	-	24,999	258
'E'	25,000	-	29,999	251
'F'	30,000	-	34,999	249
'G'	35,000	-	39,999	238
'H'	40,000	-	44,999	194
'I'	45,000	-	49,999	186
'J'	50,000	-	54,999	164
'K'	55,000	-	59,999	162
'L'	60,000	-	64,999	158
'M'	65,000	-	69,999	149
'N'	70,000	-	74,999	101
'O'	75,000	-	79,999	140
'P'	80,000	-	84,999	136
'Q'	85,000	-	89,999	126
'R'	90,000	-	94,999	102
'S'	95,000	-	99,999	135
'T'	greater than 99,999			1,631
	Total			5,759

← Income Fields breakdown (Sum of Data Elements 2+3)

Total Gift

'01'	0	-	250	1,147
'02'	251	-	500	164
'03'	501	-	750	249
'04'	751	-	1000	161
'05'	1001	-	1250	97
'06'	1251	-	1500	460
'07'	1501	-	1750	92
'08'	1751	-	2000	151
'09'	2001	-	2250	116
'10'	2251	-	2500	107
'11'	2501	-	2750	80
'12'	2751	-	3000	240
'13'	3001	-	3250	49
'14'	3251	-	3500	141
'15'	3501	-	3750	91
'16'	3751	-	4000	135
'17'	4001	-	4250	77
'18'	4251	-	4500	85
'19'	4501	-	9999	1,434
'20'	10000	-	and above	683
	Total			5,759

← Gift Aid Fields breakdown (Sum of Data Elements 31c+31d+31e+31f+31j+31k+31l+31o+31p+31n+31q)

Financial Aid Data Fields Data Element and Field Name		Total \$ Awarded	Total Students	Minimum Award Amount Reported	Maximum Award Amount Reported
		Dollars	Students	Min Amt	Maximum Amt
31	Grants & Scholarships				
31A	FEDERAL_PELL	27,825	13	200	4,050
31B	FEDERAL_SEOG	10,018	5	490	4,000
31C	TPEG	39,733	36	207	5,026
31D	FILLER	0	0	0	0
31E	TEG	0	0	0	0
31F	FILLER	0	0	0	0
31G	HB3015 GANDS	0	0	0	0
31H	MERIT TO INST	0	0	0	0
31I	MERIT BY INST	0	0	0	0
31J	FILLER	0	0	0	0
31K	FILLER	0	0	0	0
31L	STUDENT_DEP_SCHLR	712	1	712	712
31M	FILLER	0	0	0	0
31N	OTHER_SCHLR_GRANT	56,997	68	150	18,200
31O	TEXAS_GRANT_PGM	18,666	6	2,966	3,140
31P	TEOG	0	0	0	0
31Q	FILLER	0	0	0	0
4	TOP 10% SCHOLARSHIP	0	0	0	0
32	Work-Study				
32A	FEDERAL_WK_STUDY	8,208	3	2,170	3,499
32B	TEXAS_WORK_STUDY	0	0	0	0
32C	NEED_BASED_INST	0	0	0	0
32D	AMERICORPS	0	0	0	0
32E	HB3015 WS	0	0	0	0
32F	TEXAS WORK-STUDY MENTORSHIP PGM	0	0	0	0
33	Loans				
33A	TASSP	374,319	66	508	12,313
33B	FILLER	440,630	65	687	17,944
33C	PERKINS_LOAN	46,648	24	180	6,000
33D	FEDERAL_PLUS_LNS	143,749	15	4,093	16,310
33E	FILLER	0	0	0	0
33F	CAL	0	0	0	0
33G	PRIM_CARE_STD_LN	0	0	0	0
33H	PLUS FEDERAL DIRECT LOANS	0	0	0	0
33I	SUB_FED_DIR_LNS	0	0	0	0
33J	OTHER_LT_LOANS	92,375	12	1,004	19,764
33K	UNSUB_FED_DIR_LNS	0	0	0	0
33L	BOT	0	0	0	0
33M	HB3015 LOANS	0	0	0	0
34A	TEACH GRANT	0	0	0	0
34	Other Programs				
34B	HB3015_OTHER	0	0	0	0

***Note: Totals are net errors.

Totals above do not include records with errors

Ethnic Origin		
Hispanic or Latino Origin		1,745
Not Hispanic or Latino Origin		3,939
Not Answered		75
Total		5,759

New Ethnicity Field breakdown (Data Element 15)

Race		
Multi-Racial		154
White Only		3,829
Black Only		573
Hispanic Only		977
Asian Only		64
American Indian/Alaskan Native Only		70
International Only		3
Native Hawaiian/Other Pacific Islander Only		12
Ethnic Origin/Race Unknown		77
Total		5,759

New Race Field breakdown (Data Element 16a through 16g)

Races reported in Multi-racial

White	142
Black	64
Asian	27
American Indian/Alaskan Native	86
Native Hawaiian/Other Pacific Islander	14
International	0

142
64
27
86
14
0

Breakdown of students that are reported with multi-races (Data Elements 16a through 16g)

***Note: Totals are net errors.

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 Students reported on FADS but not found on CBM001
 FALL 2016 U. of THECB 009999 RunDate: 10/11/2016 Time: 18:20:47

Fice Report
 Code StudentID Year

List of students that are reported on FAD001, but not found on the certified CBM001 Report for Institution

***** No Records are on this report
 *** Student IDs reported on the FADS report but not found on the certified CBM001 for Fiscal Year

Edit00v0 TEXAS HIGHER EDUCATION COORDINATING BOARD Page 1
 Non-immigrant Residents not found on the CBM001
 FALL FALL 2016 U. of THECB 009999 RunDate: 10/11/2016 Time: 18:20:47

Fice Report
 Code StudentID Year Residency

List of students that are reported on FAD001 with residency of 5, but not found on the certified CBM001

***** No Records are on this report
 *** Student IDs reported on the FADS with (Item 18) Residency = '5' but not found on the certified CBM001 for Fiscal Year.

Edit00v0 TEXAS HIGHER EDUCATION COORDINATING BOARD Page 1
 Non-immigrant Residents not coded as such on the CBM001
 FALL 2016 U. of THECB 009999 RunDate: 10/11/2016 Time: 18:20:47

Fice Report
 Code StudentID Year Residency

List of students that are reported on FAD001 with residency of 5, but not reported as 5 for residency on the certified CBM001

***** No Records are on this report
 ***Note Students coded as a '5' in Residency (Item 18) on the FADS report But NOT reported with a code of ('A' or 'B'(CTC only)) in (Item 7) Tuition Status on the CBM001 for Fiscal Year.

Fiscal Year 2016

RunDate: 10/11/2016 Time: 08:40:31

Data Element 's

***** I t e m s *****																		
01A	01B	01C	01D	02	03	04	05	06	07	08	09	10	11	12	13	36	Remarks	
14	15	16	17	18	23	24	25	26	27	28	29	30	32A	32B	32C	32D	32E	32F
31A	31B	31C	31D	31E	31F	31G	31H	31I	31J	31K	31L	31M	31N	31O	31P	31Q		
33A	33B	33C	33D	33E	33F	33G	33H	33I	33J	33K	33L	33M	33N	33O	33P	33Q		
19	20				21			22		34A	34B	35A	35B					

F	009999	2016	*111111111	084565	000000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	000000000	E
2	1	2	01011901	1	1	M	2	3	15712	014469	00000	00000	00000	00000	00000	00000	00000	
00000	00000	*01243	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	
00000	05000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	00000	
212									75701	00000	00000	000	000					

Data as reported in record

Error or Warning Messages

TPEG(Item31C), SOC_SEC_NUMBER(Item01D), Mult. Records. REF0422
is > zero and Not Public Institution. Error! REF0741
Duplicate Record Questionable. REF0716!

Total Rejected Records	0
Total Records On DataBase	5,775
Total Non Error Records	5,759
Total Error Records	16
Total Questionable Records	707
Total Rejected Records	0

- Number of records rejected
- Total records reported in file
- Number of "good" records
- Number of records with errors
- Number of records with warnings
- Number of records rejected

Records with errors are grouped and listed first

Items In Error Are Indicated By (*), Questionable By (-)

Fiscal Year 2016

009999

RunDate: 10/11/2016 Time: 08:40:31

***** I t e m s *****																		
01A	01B	01C	01D	02	03	04	05	06	07	08	09	10	11	12	13	36	Remarks	
14	15	16	17	18	23	24	25	26	27	28	29	30	32A	32B	32C	32D	32E	32F
31A	31B	31C	31D	31E	31F	31G	31H	31I	31J	31K	31L	31M	31N	31O	31P	31Q		
33A	33B	33C	33D	33E	33F	33G	33H	33I	33J	33K	33L	33M	33N	33O	33P	33Q		
19	20				21			22		34A	34B	35A	35B					

Records with questionable or warnings are grouped and listed last

Items In Error Are Indicated By (*), Questionable By (-)

RECONCILIATION AND CERTIFICATION

Sample Reconciliation Memo
Formulas of Calculations Used on Program Summary Report
Sample Certification Memo

SAMPLE RECONCILIATION MEMO

After receiving a file with no errors, the institution will receive the memo below with a summary reports attached. **The institution must review the summary reports to make sure they accurately reflect awards made at the institution.** If the FAD001 report needs to be corrected, the institution must make corrections and resubmit the entire FAD001 file. If the program EOY report is incorrect, then notify the THECB Financial Aid Services team at 1-800-242-3062 or grantinfo@thecb.state.tx.us and work to update the program EOY report.

RECONCILIATION OF FY2016 FINANCIAL AID DATABASE REPORT

The attached files are summary reports generated from your FY2016 Financial Aid Database. Please review them and make sure they accurately reflect the activities of your office.

Our editing process allows us to check for values that fall within acceptable, but only you can determine whether all of the programs are correctly reflecting the award amount made through your institution (for instance, that you had 100 TEXAS Grant awards or 200 BOT Loans last year).

The row labeled "THECB Program Ledger Totals" on the program summary report spreadsheet reflects data from your FY2016 year-end reports for the following programs:

TEG	TCWSP	Top 10%	CAL
TEXAS Grant	TEOG	TASSP	BOT

The two data sets must be in agreement. For example, if you reported \$2,000 and 2 awards when you submitted your Texas College Work Study Program end of year report, those same numbers should appear on the database.

If the numbers are not in agreement or if any of your programs are not showing the correct total of awards made, you will need to either correct the Financial Aid Database Report or the program end of year report (if one of the programs above), depending on which is incorrect. Please let me know which report you will be correcting. Please keep in mind that resubmitting your database report will override any files for FY16 previously received for your school. Once the edits are clear again, we will re-send you the report so that it can reviewed for accuracy.

NOTE: Your edit report contains a section which gives a representation of how your students are being reported within your database report. Below, for example, the classification field shows the number of undergraduates or first-time entering students you are reporting in comparison to last year's report. There is also a comparison of the TEXAS Grant field from both years. Please pay special attention to the breakdown and make any corrections to your file as necessary. Those marked with ***Review indicate that there was an increase or decrease in your numbers and should be reviewed. Below are examples and not your actual numbers:

Classification	***EXAMPLE***			
	2015/6	2014/5	% Diff	
Undergraduate/NO Bachelors	3,793	2,942	28.93%	*** Review
Graduate/Bachelors	506	295	71.53%	*** Review
Professional/Law Dentistry Vet etc	3	0	100.00%	
Bachelors or greater/in Ug Program	252	185	36.22%	*** Review
First-time Entering Student	1,414	1,279	10.56%	
Total	5,968	4,701	26.95%	*** Review
31 Grants & Scholarships	Students	Dollars	Min Amt	Maximum Amt
2014/5 310 TEXAS_GRANT_PGM	554	2,997,961	305	6,080
2015/6 310 TEXAS_GRANT_PGM	544	2,499,328	80	5,280
Percent Change	1.84%	19.95%	281.25%	15.15%
	*****	*****	*****	*** Review

The edit report also includes a list of any social security or ID discrepancies between the FAD001 file and CBM reports. If the FAD001 report and CBM reports do not agree in the coding of non-immigrant residents (1403 students), the edit report will include a list of such students. In order to certify the FAD001 report, all 1403-coding discrepancies will have to be resolved. Data Element 5, "Unmatched Reason" is to be used to indicate the reason, if any students do not match on residency between both reports. **NOTE: The institution may not request the certification if any discrepancies on residency between the two reports for those fields exist.**

Once the summary reports have been reviewed and accurately reflect the awards made at the institution, send an email to Tanya Treviño at tanya.trevino@theeb.state.tx.us, requesting the certification. Include the statement below when requesting the certification.

REQUEST FOR CERTIFICATION FOR OUR FY2016 FINANCIAL AID DATABASE REPORT

I have reviewed the student-by-student data provided to the THECB, used to calculate the summary information in the spreadsheets I received and they accurately reflect the activities in our office for the 2015-2016 academic year. I am requesting the certification be sent to the person named below so that we may complete our requirements for the Financial Aid Database Report. I understand that this is not the certification, but just a request and that the deadline to certify my report is December 1, 2016.

Institution Name/FICE Code:

Today's date:

Financial Aid Officer responding to this survey:

Person to whom Certification is to be sent:

E-mail address of person named above:

Please submit this request **within 48 hours** by e-mail to tanya.trevino@theeb.state.tx.us

FORMULAS OF CALCULATIONS USED ON PROGRAM SUMMARY REPORT

Before the cost of attendance or total family contribution is used in calculations, they are multiplied by enrollment adjustment percent (data element 35a and 35b).

Column Name	Formula used
Aggregate Cost of Attendance	Total of data element 27 (cost of attendance) * [data element 35a (enrollment adjustment percentage for COA) / 100]
Aggregate Family Contribution	Total of data element 28 (total family contribution) * [data element 35b (enrollment adjustment percentage for TFC) / 100]
Number Receiving Tuition Ex/Waiver	Number of records with data element 29 (Tuition Exemptions) > 0
Aggregate Value of Tuition Ex/Waiver	Data element 29 total – Tuition Exemptions or Waivers
Number Receiving Categorical Aid	Number of records with data element 30 (Categorical Aid) > 0
Aggregate Categorical Aid	Data element 30 total – Categorical Aid
Number Receiving Pell Grant	Number of records with data element 31a > 0
Aggregate Value of Pell Grant	Data element 31a total – Federal Pell
Number Receiving SEOG	Number of records with data element 31b > 0
Aggregate Value of SEOG	data element 31b total – Federal SEOG (including match)
Number Receiving TPEG	Number of records with data element 31c > 0
Aggregate Value of TPEG	data element 31c total – TPEG
Number Receiving TEG	Number of records with data element 31e > 0
Aggregate Value of TEG	data element 31e total – TEG (Private Schools ONLY)
Number Receiving HB3015 Grants and Scholarships	Number of records with data element 31g > 0
Aggregate Value of HB3015 Grants and Scholarships	data element 31g total – HB3015 Grants and Scholarships
Number Receiving Merit aid taken to Institution	Number of records with data element 31h > 0
Aggregate Value of Merit aid taken to Institution	data element 31h total – Merit aid to Institution
Number Receiving Merit aid by Institution	Number of records with data element 31i > 0
Aggregate Value of Merit aid by Institution	data element 31i total – Merit aid by Institution
Number Receiving Deposit Schol.	Number of records with data element 31l > 0
Aggregate Value of Deposit Schol.	data element 31l total – Student Deposit Scholarship
Number Receiving Other Schol. & Grants	Number of records with data element 31n > 0
Aggregate Value of Other Schol. & Grants	data element 31n total – Other Scholarships or Grants
Number Receiving Texas Grant Scholarship	Number of records with data element 31o > 0
Aggregate Value of Texas Grant Scholarship	data element 31o total – Texas Grant Program
Number Receiving TEOG	Number of records with data element 31p > 0
Aggregate Value of TEOG	data element 31p total – Texas Grant II Program

Column Name	Formula used
Number Receiving Top 10% Scholarship	Number of records with data element 4 > 0
Aggregate Value of Top 10% Scholarship	data element 4 total – Top 10% Scholarship
Unduplicated Students Receiving Grants & Schol.	Total number of records if any of the data elements 4 and 31a through 31q
Aggregate Value of Grants & Schol.	Total of data elements 4 and 31a through 31q
Number Receiving Federal Work-Study	Number of records with data element 32a > 0
Aggregate Value of Federal Work-Study	data element 32a total – Federal Work-Study (federal funds plus match)
Number Receiving Texas Work-Study	Number of records with data element 32b > 0
Aggregate Value of Texas Work-Study	data element 32b total – Texas Work-Study (state funds plus match)
Number Receiving Institutional Work-Study	Number of records with data element 32c > 0
Aggregate Value of Institutional Work-Study	data element 32c total – Need-based Institutional Work-Study
Number Receiving AmeriCorps	Number of records with data element 32d > 0
Aggregate Value of AmeriCorps	data element 32d total – AmeriCorps
Number Receiving HB3015 WS	Number of records with data element 32e > 0
Aggregate Value of HB3015 WS	data element 32e total – HB3015 WS
Number Receiving Texas Work-Study Mentorship Program	Number of records with data element 32f > 0
Aggregate Value of Texas Work-Study Mentorship Program	data element 32f total – TXWS Mentorship Program
Unduplicated Students Receiving Work-Study	Total number of records if any of the data elements 32a through 32e are > 0
Aggregate Value of Work-Study	Total of data elements 32a through 32e
Number Receiving TASSP	Number of records with data element 33a > 0
Aggregate Value of TASSP	data element 33a total - TASSP
Number Receiving Perkins Loans	Number of records with data element 33c > 0
Aggregate Value of Perkins Loans	data element 33c total – Perkins Loans
Number Receiving College Access Loans	Number of records with data element 33f > 0
Aggregate Value of College Access Loans	data element 33f total – College Access Loan (CAL)
Number Receiving Primary Care Loans	Number of records with data element 33g > 0
Aggregate Value of Primary Care Loans	data element 33g total – Primary Care Student Loans
Number Receiving PLUS Federal Direct Loans	Number of records with data element 33h > 0
Aggregate Value of PLUS Federal Direct Loans	data element 33h total – PLUS Federal Direct Loans
Number Receiving Subsidized Fed. Direct Loans	Number of records with data element 33i > 0
Aggregate Value of Subsidized Fed. Direct Loans	data element 33i total – Subsidized Federal Direct
Number Receiving Other Long-Term Loans	Number of records with data element 33j > 0
Aggregate Value of Other Long-Term Loans	data element 33j total – Other Long-Term Loans

Column Name	Formula used
Number Receiving Unsub. Fed. Direct Loans	Number of records with data element 33k > 0
Aggregate Value of Unsub. Fed. Direct Loans	data element 33k total – Unsubsidized Federal Direct Loans
Number Receiving Be On Time (BOT) Loans	Number of records with data element 33l > 0
Aggregate Value of Be On Time (BOT) Loans	data element 33l total – Be On Time (BOT) Loans
Number Receiving HB 3015 Loans	Number of records with data element 33m > 0
Aggregate Value of HB3015 Loans	data element 33m total – HB3015 Loans
Unduplicated Students Receiving Loans	Total number of records if any of the data elements 33a through 33m are > 0
Aggregate Value of All Loans	Total of data elements 33a through 33m
Number Receiving TEACH Grant	Number of records with data element 34a > 0
Aggregate Value of TEACH Grant	data element 34a total – TEACH Grant
Number Receiving HB3015 Other Aid	Number of records with data element 34b > 0
Aggregate Value of HB3015 Other Aid	total of data element 34b – HB3015 Other Aid
Unduplicated Students Receiving Any Aid	Total number of records if any of the date elements 4 and 29 through 34b are > 0
Aggregate Value of Funds Awarded	Total of date elements 4 and 29 through 34b
Number Unduplicated Recipients	Total number of records if any of fields 4 and 31 through 68 are > 0
Unduplicated Students Receiving Grants	Total number of records if any of the data elements 29 through 31g or data elements 31o through 31q are > 0
Unduplicated Students Receiving Schol.	Total number of records if any of the data elements 4 and 31h through 31n are > 0

SAMPLE CERTIFICATION

Below is a sample of the certification the institution will receive once the summary report is reviewed and the report has been reconciled. The institution must request the certification be sent using the statement from the memo that is sent with the summary report. Failure to request that the certification be sent directly to the institution may push an institution past the December 1st deadline.

CERTIFICATION OF FY2016 FINANCIAL AID DATABASE REPORT

A certification form is included at the bottom of this e-mail. Please complete and return the certification statement no later than December 1, 2016. You are receiving this certification because you have requested it after reviewing the information provided to you in the summary reports.

When THECB receives the completed form, it will be considered the institution's certification for the data and the reporting requirements will have been met.

NOTE: If any data is not correct and the institution has to resubmit the database report after the deadline, the institution may be penalized. Resubmitting a report also means that the report certification becomes invalid and the institution must notify the THECB of the changes to obtain a new certification statement.

CERTIFICATION STATEMENT FOR OUR FY2016 FINANCIAL AID DATABASE REPORT

I hereby certify that the student-by-student data provided to the THECB, used to calculate the summary information in the spreadsheets I previously received, is valid and accurately reflects the activities in our office for the 2015-2016 academic year.

Institution Name/Fice Code:

Today's date:

Financial Aid Officer responding to this survey:

Phone number of respondent:

E-mail address of respondent:

Please submit this completed form **within 48 hours** by e-mail to tanya.trevino@thecb.state.tx.us. Thank you!

Tanya Treviño
System Support Specialist
Texas Higher Education Coordinating Board
tanya.trevino@thecb.state.tx.us
Fax: 512/427-6472