SECTION 07 8413 - PENETRATION FIRESTOPPING

Maintain Section format, including the UH master spec designation and version date in **bold** in the center columns of the header and footer. Complete the header and footer with Project information.

Revise this Section by deleting and inserting text as needed to meet Project-specific requirements.

Verify that Section titles referenced in this Section are correct for this Project's Specifications; Section titles may have changed.

Delete hidden text after this Section has been edited for the Project.

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. The Contractor's attention is specifically directed, but not limited, to the following documents for additional requirements:
 - 1. The current version of the *Uniform General Conditions for Construction Contracts*, State of Texas, available on the web site of the Texas Facilities Commission.
 - 2. The University of Houston's *Supplemental General Conditions and Special Conditions for Construction*.

1.2 SUMMARY

A. Section Includes:

- 1. Penetrations in fire-resistance-rated walls.
- 2. Penetrations in horizontal assemblies.
- 3. Penetrations in smoke barriers.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. LEED Action Submittals (for Projects authorized for LEED certification only):
 - 1. Building Product Disclosure and Optimization Sourcing of Raw Materials:

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- a. Leadership Extraction Practices
 - 1) Extended Producer Responsibility (EPR): Submit documentation indicating that manufacturers have a take back or recycling program for the product purchased.
 - 2) Recycled Content: For products having recycled content, indicate percentages by weight of post-consumer and pre-consumer recycled content.
 - a) Include statement indicating costs for each product having recycled content.
- b. Sourcing of Raw Materials: For products that are required to comply with requirements for regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material.
 - 1) Include statement indicating distance to Project, cost for each regional material and the fraction by weight that is considered regional.
 - 2) Product Certificates: For materials manufactured within 100 miles of Project, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each raw material.
- 2. Indoor Environmental Quality, Low Emitting Materials: Building Products must be tested and compliant with the California Department of Public-Health (CDPH) Standard Method V1.1-2010, using the applicable exposure scenario.
 - a. For paints, and coatings, wet applied, include printed statement of VOC content, showing compliance with the applicable VOC limits of the California Air Resources Board (CARB) 2007, Suggested Control Measure for Architectural Coatings or the South Coast Air Quality Management District (SCAQMD) Rule 113-2011.
 - Adhesives and Sealants: For wet applied on site products, submit printed statement showing compliance with the applicable chemical content requirements of SCAQMD Rule 1168, effective July 1, 2005 and rule amendment date of January 7, 2005.
 - 1) Product Data: For installation adhesives, indicating VOC content.
 - Alternative tests for VOC include ASTM D 2369-10, ISO 11890, ASTM D 6886-03; or ISO 11890-2.
 - d. Methylene Chloride and perchloroethylene may not be added to paints, coating, adhesive or sealants.
 - e. Provide General Emissions Evaluation certificates for adhesives, sealants showing compliance with California Department of Public Health v1.1 emissions testing or equivalent.
- 3. Laboratory Test Reports: For installation adhesives indicating compliance with requirements for low-emitting materials.

- C. Product Schedule: For each penetration firestopping system. Include location and design designation of qualified testing and inspecting agency.
 - 1. Where Project conditions require modification to a qualified testing and inspecting agency's illustration for a particular penetration firestopping condition, submit illustration, with modifications marked, approved by penetration firestopping manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified Installer.
- B. Installer Certificates: From Installer indicating penetration firestopping has been installed in compliance with requirements and manufacturer's written recommendations.
- C. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, for penetration firestopping.

Retain paragraph D and associated subparagraphs below if Project is to be LEED v4 certified.

D. LEED Informational Submittals:

- 1. Building Product Disclosure and Optimization Sourcing of Raw Materials:
 - a. Raw Material Sources and Extraction Reporting: Submit Raw materials supplier corporate Sustainability Reports (CSRs); documenting responsible extraction; including extraction locations, long term ecologically responsible land use, commitment to reducing environmental harms from extraction and manufacturing processes, and a commitment to meeting applicable standards or programs that address responsible sourcing criteria
 - 1) Submit manufacturers' self-declared reports
 - 2) Submit third party verified corporate sustainability reports (CSR) using one of the following frameworks"
 - a) Global Reporting Initiative (GRI) Sustainability Report
 - b) Organization for Economic Co-operation and Development (OECD) Guidelines for Multinational Enterprises
 - c) UN Global Compact
 - d) ISO 26000
 - e) USGBC approved program.
- 2. Building Product Disclosure and Optimization Material Ingredients

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- a. Material Ingredient Optimization: Submit manufacturer's Environmental Product Declaration (EPD) or at least one of the following:
 - 1) GreenScreen V1.2 Benchmark: Third party report prepared by a licensed GreenScreen List Translator, or a full GreenScreen Assessment.
 - 2) Cradle to Cradle: Manufacturer's published literature for the product bearing the Cradle to Cradle logo.
 - 3) International Alternative Compliance Path REACH Optimization
 - 4) Declare: Manufacturer's completed Product Declaration Form
 - 5) Other programs approved by USGBC
- b. Product Manufacturer Supply Chain Optimization: Submit documentation from manufacturers for products that go beyond material ingredient optimization as follows:
 - 1) Are sourced from product manufacturers who engage in validated and robust safety, health, hazard, and risk programs that at a minimum document at least 99 percent (by weight) of the ingredients used to make the building product or building material, and
 - 2) Are sourced from product manufacturers with independent third party verification of their supply chain that at a minimum verifies:
 - a) Processes are in place to communicate and transparently prioritize chemical ingredients along the supply chain according to available hazard, exposure and use information to identify those that require more detailed evaluation
 - Processes are in place to identify, document, and communicate information on health, safety and environmental characteristics of chemical ingredients
 - Processes are in place to implement measures to manage the health, safety and environmental hazard and risk of chemical ingredients
 - d) Processes are in place to optimize health, safety and environmental impacts when designing and improving chemical ingredients
 - e) Processes are in place to communicate, receive and evaluate chemical ingredient safety and stewardship information along the supply chain.
 - f) Safety and stewardship information about the chemical ingredients is publicly available from all points along the supply chain.

1.5 QUALITY ASSURANCE

A. Installer Qualifications: A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with its "Qualified Firestop Contractor Program Requirements."

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- B. Installer Qualifications: A firm experienced in installing penetration firestopping similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful performance. Qualifications include having the necessary experience, staff, and training to install manufacturer's products per specified requirements. Manufacturer's willingness to sell its penetration firestopping products to Contractor or to Installer engaged by Contractor does not in itself confer qualification on buyer.
- C. Fire-Test-Response Characteristics: Penetration firestopping shall comply with the following requirements:
 - 1. Penetration firestopping tests are performed by a qualified testing agency acceptable to authorities having jurisdiction.
 - 2. Penetration firestopping is identical to those tested per testing standard referenced in "Penetration Firestopping" Article. Provide rated systems complying with the following requirements:
 - a. Penetration firestopping products bear classification marking of qualified testing and inspecting agency.
 - b. Classification markings on penetration firestopping correspond to designations listed by the following:
 - 1) UL in its "Fire Resistance Directory."
 - 2) Intertek ETL SEMKO in its "Directory of Listed Building Products."
 - 3) FM Global in its "Building Materials Approval Guide."
- D. Preinstallation Conference: Conduct conference at Project site.

1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install penetration firestopping when ambient or substrate temperatures are outside limits permitted by penetration firestopping manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

1.7 COORDINATION

A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping is installed according to specified requirements.

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- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping.
- C. Notify Owner's testing agency at least seven days in advance of penetration firestopping installations; confirm dates and times on day preceding each series of installations.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. A/D Fire Protection Systems Inc.
 - 2. Grace Construction Products.
 - 3. Hilti, Inc.
 - 4. Nelson Firestop Products.
 - 5. NUCO Inc.
 - 6. RectorSeal Corporation.
 - 7. Specified Technologies Inc.
 - 8. 3M Fire Protection Products.
 - 9. Tremco, Inc.; Tremco Fire Protection Systems Group.
 - 10. USG Corporation.
- B. Substitutions: Refer to Section 01 2500 "Substitution Procedures."

2.2 PENETRATION FIRESTOPPING

- A. Provide penetration firestopping that is produced and installed to resist spread of fire according to requirements indicated, resist passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.
- B. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping manufacturer and approved by qualified testing and inspecting agency for firestopping indicated.
 - 1. Permanent forming/damming/backing materials, including the following:
 - a. Slag-wool-fiber or rock-wool-fiber insulation.

- b. Sealants used in combination with other forming/damming/backing materials to prevent leakage of fill materials in liquid state.
- c. Fire-rated form board.
- d. Fillers for sealants.
- 2. Temporary forming materials.
- 3. Substrate primers.
- 4. Collars.
- 5. Steel sleeves.

2.3 FILL MATERIALS

- A. Cast-in-Place Firestop Devices: Factory-assembled devices for use in cast-in-place concrete floors and consisting of an outer metallic sleeve lined with an intumescent strip, a radial extended flange attached to one end of the sleeve for fastening to concrete formwork, and a neoprene gasket.
- B. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.
- C. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.
- D. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced elastomeric sheet bonded to galvanized-steel sheet.
- E. Intumescent Putties: Nonhardening dielectric, water-resistant putties containing no solvents, inorganic fibers, or silicone compounds.
- F. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.
- G. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers, and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.
- H. Pillows/Bags: Reusable heat-expanding pillows/bags consisting of glass-fiber cloth cases filled with a combination of mineral-fiber, water-insoluble expansion agents, and fire-retardant additives. Where exposed, cover openings with steel-reinforcing wire mesh to protect pillows/bags from being easily removed.
- I. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

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- J. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below:
 - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces, and nonsag formulation for openings in vertical and sloped surfaces, unless indicated firestopping limits use of nonsag grade for both opening conditions.

2.4 MIXING

A. For those products requiring mixing before application, comply with penetration firestopping manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Cleaning: Clean out openings immediately before installing penetration firestopping to comply with manufacturer's written instructions and with the following requirements:
 - 1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping.
 - 2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping. Remove loose particles remaining from cleaning operation.
 - 3. Remove laitance and form-release agents from concrete.
- B. Priming: Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

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C. Masking Tape: Use masking tape to prevent penetration firestopping from contacting adjoining surfaces that will remain exposed on completion of the Work and that would otherwise be permanently stained or damaged by such contact or by cleaning methods used to remove stains. Remove tape as soon as possible without disturbing firestopping's seal with substrates.

3.3 INSTALLATION

- A. General: Install penetration firestopping to comply with manufacturer's written installation instructions and published drawings for products and applications indicated.
- B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings indicated.
 - 1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not indicated as permanent components of firestopping.
- C. Install fill materials for firestopping by proven techniques to produce the following results:
 - 1. Fill voids and cavities formed by openings, forming materials, accessories, and penetrating items as required to achieve fire-resistance ratings indicated.
 - 2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
 - 3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

3.4 IDENTIFICATION

- A. Identify penetration firestopping with preprinted metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of firestopping edge so labels will be visible to anyone seeking to remove penetrating items or firestopping. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:
 - 1. The words "Warning Penetration Firestopping Do Not Disturb. Notify Building Management of Any Damage."
 - 2. Contractor's name, address, and phone number.
 - 3. Designation of applicable testing and inspecting agency.
 - 4. Date of installation.
 - 5. Manufacturer's name.
 - 6. Installer's name.

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3.5 FIELD QUALITY CONTROL

- A. Owner will engage a qualified testing agency to perform tests and inspections.
- B. Where deficiencies are found or penetration firestopping is damaged or removed because of testing, repair or replace penetration firestopping to comply with requirements.
- C. Proceed with enclosing penetration firestopping with other construction only after inspection reports are issued and installations comply with requirements.

3.6 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping is without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping and install new materials to produce systems complying with specified requirements.

3.7 PENETRATION FIRESTOPPING SCHEDULE

- A. Where UL-classified systems are indicated, they refer to system numbers in UL's "Fire Resistance Directory" under product Category XHEZ.
- B. Where Intertek ETL SEMKO-listed systems are indicated, they refer to design numbers in Intertek ETL SEMKO's "Directory of Listed Building Products" under "Firestop Systems."
- C. Where FM Global-approved systems are indicated, they refer to design numbers listed in FM Global's "Building Materials Approval Guide" under "Wall and Floor Penetration Fire Stops."

END OF SECTION 07 8413