

UNIVERSITY OF HOUSTON
SYSTEM ADMINISTRATION

IPEDS Survey

Finance Section for Public Institutions

For The Year Ended August 31, 1999

IPEDS FINANCE SURVEY

Last year, this report was completed by DAVID J ELLIS 's office.

Please forward this report to the above-mentioned individual. If a name is not preprinted or if this person is no longer at your institution, then please forward the form to your business or accounting office.

Special Note: Institutions are required to report this information to the IPEDS Processing Center by FEBRUARY 22, 2000.

It is important for your institution to complete the FY 1999 IPEDS finance survey if students at your institution are eligible for student financial aid under Title IV of the Higher Education Act of 1965, as amended. If this is the case, completion of this survey is part of your participation agreement with the Office of Postsecondary Education in the U.S. Department of Education as mandated by 20 U.S.C. 1094(a)(17). We recommend that all finance forms be completed by your business officer or accountant.

Submitting IPEDS Finance Survey By Mail

Send your completed IPEDS finance form to the address located in the **RETURN TO:** box on the cover page. If you send your form to a state coordinator, be sure to allow sufficient time for forwarding to the NCES/IPEDS Processing Center.

Assistance Available Over the Internet

The following web site contains instructions to help you complete the forms:

<http://www.census.gov/govs/www/tools.html>

In addition, file formats for electronic submission are available on this web site for IPEDS finance reports.

Please direct all electronic submissions and/or questions to one of our IPEDS finance survey representatives at 800-622-6193 or E-Mail one of the following:

ipedsf1@census.gov
ipedsf2@census.gov
ipedsf3@census.gov
ipedsconf@census.gov

IPEDS Finance Survey for Public Institutions
IPEDS Finance Survey for Private Non-Profit Institutions
IPEDS Finance Survey for Private For-Profit Institutions
IPEDS Consolidated Survey - Finance

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FORM IPEDS-F-1
(1-1-1999)

U.S. DEPARTMENT OF COMMERCE
BUREAU OF THE CENSUS
ACTING AS COLLECTING AGENT FOR THE
U.S. DEPARTMENT OF EDUCATION
NATIONAL CENTER FOR EDUCATION STATISTICS

NOTE - The completion of this survey, in a timely and accurate manner, is **MANDATORY** for all institutions which participate or are applicants for participation in any Federal financial assistance program authorized by Title IV of the Higher Education Act of 1965, as amended. The completion of this survey is mandated by 20 U.S.C. 1094(a)(17).
For those institutions not required to complete this survey on the basis of the above requirements, the completion of this survey is voluntary and authorized by P.L. 103-382, National Education Statistics Act of 1994, Sec. 404(a).

**INTEGRATED POSTSECONDARY
EDUCATION DATA SYSTEM**

**FINANCE SURVEY
(For Public Institutions)
Fiscal Year 1999**



229407-09

Please read the accompanying instructions before completing this survey form. Report data ONLY for the institution in the address label. If data for any other institutions or branch campuses are included in this report because they CANNOT be reported separately, please provide a list of these schools in the space provided on page 4.

UNIVERSITY OF HOUSTON-SYSTEM ADMINISTRATION
4800 CALHOUN RM 212 E CULLEN BLDG
HOUSTON, TX 77204-2162

Please correct any errors in the name, address, and ZIP Code.

If there are any questions about this form, contact a Bureau of the Census IPEDS representative at (800) 822-6193 or FAX number (301) 457-1540, 7:30 a.m.—4:30 p.m. EST.

RETURN TO

US DEPARTMENT OF EDUCATION
NCES/IPEDS PROCESSING CENTER
PO BOX 1449
JEFFERSONVILLE, IN 47131-9959

Please submit by February 22, 2000

1. Name of respondent

David J. Ellis

2. Title of respondent

Director, Financial Reporting

3. Telephone

Area code, number, extension
(713) 743-8754

E-Mail address

dellis@uh.edu

FAX number
(713) 743-8799

PURPOSE OF THE SURVEY

The primary purpose of this survey is to collect basic data to describe the financial condition of postsecondary education in the nation; to monitor changes in postsecondary education finance; and to promote research involving institutional financial resources and expenditures. The survey is being conducted in compliance with the Center's mission "to collect, analyze, and disseminate statistics and other information related to education in the United States . . ." (P.L. 103-382, National Education Statistics Act of 1994, Sec. 404(a)).

USES OF DATA

Survey results will be used in a variety of ways. For example, they will be used, together with other data, to describe the condition of postsecondary education in the nation. The information will be summarized by various institutional categories to detect any changes over the years in the sources of revenues and types of expenditures. Results will allow institutions to compare their financial data to national averages. The data will also be merged with other institutional data, such as enrollment and completions, to provide a valuable national resource for institutional research.

**Changes from the FY 1998 form for
FY 1999 FINANCE SURVEY**

Part M, TAX RECEIPTS AND CAPITAL OUTLAY EXPENDITURES DATA, Lines 3, 4 and 5 were clarified to include noncurrent and endowment funds.

Part N, REVENUE, EXPENDITURE, AND INDEBTEDNESS DATA, Lines 4, 5, 6 and 10 were clarified to include noncurrent funds and short-term debt.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1850-0582. The time required to complete this information collection is estimated to vary from 30 minutes to 10.0 hours per response, with an average of 3.5 hours, including the time to review instructions, search existing data resources, gather and maintain the data needed, and complete and review the information collection. **If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Information Management Team, Washington, DC 20202-4652. If you have any comments or concerns regarding the status of your individual submission of this form, write directly to:**

**National Center for Education Statistics/IPEDS
U.S. Department of Education
555 New Jersey Avenue, NW
Washington, DC 20208-5652**

The definitions and instructions for compiling IPEDS data have been designed to minimize comparability problems. However, postsecondary education institutions differ widely among themselves. As a result of these differences, comparisons of data provided by individual institutions may be misleading.

DO NOT RETURN INSTRUCTIONS

This form has been divided into two sections to facilitate reporting of financial data:

F-1

Section I: Current Year Report — FY 1999 is to be completed by the respondent and returned to the address shown on the cover page. *Do not record data in shaded areas.*

Section II: Prior Year Reported Data — FY 1998 is a copy of the data reported by your institution last year. Please use this as a reference for reporting FY 1999 data and keep it in your files with a copy of your FY 1999 submission.

Section I CURRENT YEAR REPORT — FY 1999

Part A — CURRENT FUNDS REVENUES BY SOURCE

This report covers finance activity for the 12-month fiscal year beginning

09 , 1998 and ending 08 , 1999
 Month Year Month Year

Line No.	Source of funds	Amount (whole dollars)		
		Unrestricted (1)	Restricted (2)	TOTAL (3)
01	Tuition and fees	\$ 0	\$ 0	\$ 0
	Government appropriations			
02	Federal	0	0	0
03	Through state channels	\$ 0		
04	State	38,390,611	0	38,390,611
05	Local	0	0	0
	Government grants and contracts			
06	Federal (exclude FDSL loans)	0	385,823	385,823
07	State	0	0	0
08	Local	0	0	0
09	Private gifts, grants, and contracts	13,160	7,819,232	7,832,392
10	Endowment income	1,965,591	8,079	1,973,670
11	Sales and services of educational activities	4,855,792	0	4,855,792
12	Auxiliary enterprises	0	0	0
13	Hospitals	0	0	0
14	Other sources	1,085,754	(10,800)	1,074,954
15	Independent operations	0	0	0
16	TOTAL CURRENT FUNDS REVENUES (Sum of lines 1, 2, 4–15)	\$ 46,310,908	\$ 8,202,334	\$ 54,513,242

Section I CURRENT YEAR REPORT - FY 1999 - Continued

F-1

Part B - CURRENT FUNDS EXPENDITURES BY FUNCTION

Line No.	Function of expenditures	Amount (whole dollars)			Amount for salaries and wages without employee fringe benefits (included in col. 3) (4)
		Unrestricted (1)	Restricted (2)	TOTAL (3)	
	EDUCATIONAL AND GENERAL:	\$	\$	\$	\$
01	Instruction	0	0	0	0
02	Research	0	0	0	0
03	Public service	8,838	8,103,603	8,112,441	2,638,866
04	Academic support	0	8,079	8,079	0
05	Includes library expenditures of \$	0			
06	Student services	0	0	0	0
07	Institutional support	8,624,294	90,653	8,714,947	3,874,087
08	Operation and maintenance of plant	0	0	0	0
09	Scholarships and fellowships --- from Part E, line 7 (exclude FDSL loans)	0	0	0	0
10	Mandatory transfers	0	0	0	0
11	Nonmandatory transfers educational activities	37,999,650	3,075,759	41,075,409	
12	TOTAL EDUCATIONAL AND GENERAL EXPENDITURES AND TRANSFERS For columns 1-3 --- (Sum of lines 1-4, 6-11) For column 4 --- (Sum of lines 1-4, 6-8)	\$ 46,632,782	\$ 11,278,094	\$ 57,910,876	\$ 6,512,953
13	Auxiliary enterprises	0	0	0	0
14	Includes mandatory transfer of \$				
15	Includes nonmandatory transfer of \$				

Section I CURRENT YEAR REPORT - FY 1999 - Continued

F-1

Part B - CURRENT FUNDS EXPENDITURES BY FUNCTION - Continued

Line No.	Function of expenditures	Amount (whole dollars)			Amount for salaries and wages without employee fringe benefits (included in col. 3) (4)
		Unrestricted (1)	Restricted (2)	TOTAL (3)	
16	Hospitals	\$ 0	\$ 0	\$ 0	\$ 0
17	Includes mandatory transfer of	\$ 0			
18	Includes nonmandatory transfer of	\$ 0			
19	Independent operations	0	0	0	0
20	Includes mandatory transfer of	\$ 0			
21	Includes nonmandatory transfer of	\$ 0			
22	TOTAL CURRENT FUNDS EXPENDITURES AND TRANSFERS (Sum of lines 12, 13, 16, and 19)	\$ 46,632,782	\$ 11,278,094	\$ 57,910,876	\$ 6,512,953
Line No.	Function of expenditures				
23	Total salaries and wages for E&G (Sum of column 4, lines 1-4 and 6-8. See line 12.)				
24	Total E&G employee fringe benefits paid from institutional accounts				
25	Total E&G employee fringe benefits paid from noninstitutional accounts:				
	Not included on line 12, column 3				
	Included on line 12, column 3				
26					
27	TOTAL E&G EMPLOYEE COMPENSATION (Sum of lines 23-26)				\$ 7,747,254

Section I CURRENT YEAR REPORT - FY 1999 - Continued

F-1

Part C - CLARIFYING QUESTIONS REGARDING TOTAL E&G EXPENDITURES AND REVENUES

1. Institutional systems - Is this unit part of an institutional system?

1 Yes - Are any costs for the operation of central administration included in this report?

1 Yes - Enter amount \$ 2,089,585
 2 No

2 No

2. Tuition and fees

a. Tuition and fees (Copy Part A, line 1, column 3) \$ 0

b. Tuition and fees collections (including remissions) used for purposes other than current operations (e.g., debt retirement, additions to plant) REPORTED in Part C, line 2a above. \$ 0

c. Tuition and fees collections (including remissions) used for purposes other than current operations (e.g., debt retirement, additions to plant) not REPORTED in Part C, line 2a above. \$ 0

d. TOTAL TUITION AND FEES (a + c) \$ 0

3. Intercollegiate athletics - Does this institution have an intercollegiate athletic program?

1 Yes - It is funded through one or more of the following accounts - Mark (X) all that apply and enter dollar amount.

Institutional accounts

3 Amount reported as part of Auxiliary enterprises (Part B, line 13) \$ N/A
 4 Amount reported as part of Instruction (Part B, line 1) \$ N/A
 5 Amount reported as part of Student services (Part B, line 8) \$ N/A

Corporate or foundation account

6 Amount funded from a separate corporation or foundation \$ N/A

2 No

4. Summer session(s)

a. Does your institution operate a summer session(s)?

1 Yes - Does the summer session(s) operate independently of the main academic portion of the institution? (For example, its academic mission may be significantly different, it may have its own admissions requirements, course offerings, completions requirements, and/or record keeping system.)

1 Yes - Enter amounts

Revenues \$ N/A
 Expenditures \$ N/A

2 No

2 No - SKIP to item 5

b. Have you included the revenues in Part A (Current Funds Revenues by Source) of this form?

1 Yes
 2 No

c. Have you included the expenditures in Part B (Current Funds Expenditures by Object) of this form?

1 Yes
 2 No

Section I CURRENT YEAR REPORT — FY 1999 — Continued

F-1

Part C — CLARIFYING QUESTIONS REGARDING TOTAL E&G EXPENDITURES AND REVENUES — Continued

B. Excluded financial activities

Were there any financial activities involving the receipt of revenues or the expenditure of funds (e.g., receipt and expenditure of funds by a subentity of the institution) which were not included in either part A or B above? Examples of subentities include: extension divisions or programs; agricultural experiment stations and extension services; and research laboratories.

a. Yes — Enter amounts —————→ Revenues \$ N/A
Expenditures \$ N/A

List the types of subentities whose financial activities were not included in either part A or part B.

Type of subentity (1)	Financial activities excluded from — Mark (X) all that apply.	
	Part A (2)	Part B (3)
N/A	N/A	N/A

b. No

Other exclusions — Are some educational and general expenditures paid from other than institutional sources so that they are NOT included in Part B? —————→ Yes — Enter amount \$ N/A
 No

Employee fringe benefits — From which of the following are employee fringe benefits paid?

Mark (X) only one.

- All employee fringe benefits included on Part B, line 12, column 3 are charged against institutional support (Part B, line 7) and NOT to the functional categories to which they are attributable
- All employee fringe benefits included in Part B, line 12, column 3 are charged against attributable functional categories (i.e., instruction, research, public service, academic support, student services, operation and maintenance of plant, Part B, lines 1—4, 6 and 8) as well as to institutional support (Part B, line 7)

Interest income and total gains (net of losses)

a. Revenues from other sources (Copy Part A, line 14, column 3.)	\$ 1,074,954
b. Total interest income included on line 8a above	\$ 868,340
c. Total gains (net of losses) included on line 8a above	\$ 201,139

Section I CURRENT YEAR REPORT — FY 1999 — Continued

F-1

Part D — UTILITY EXPENDITURES

Line No.	Expenditures	Amount (whole dollars)
01	TOTAL EXPENDITURES FOR UTILITIES <i>(Exclude hospitals.)</i>	\$ 194,055

Part E — SCHOLARSHIP AND FELLOWSHIP EXPENDITURES
(To be completed by institutions responding to Part B, line 9)

Line No.	Source	Amount (whole dollars)		
		Unrestricted (1)	Restricted (2)	TOTAL (3)
01	Federal government Pell Grants		\$ 0	\$ 0
02	Other federal (exclude FDSL loans)	\$ 0	0	0
03	State government	0	0	0
04	Local government	0	0	0
05	Private	0	0	0
06	Institutional	0	0	0
07	TOTAL SCHOLARSHIP AND FELLOWSHIP EXPENDITURES <i>(Sum of lines 1—6; this total must equal corresponding totals on Part B, line 9, cols. 1—3)</i>	\$ 0	\$ 0	\$ 0

Part F — EXPENDITURES FOR ACQUISITIONS OF LIBRARY MATERIALS

Line No.	Expenditures	Amount (whole dollars)
01	Expenditures for library acquisitions, included in Part B, line 5	\$ 0
02	Expenditures for library acquisitions, NOT included in Part B, line 5	0
03	TOTAL EXPENDITURES FOR LIBRARY ACQUISITIONS <i>(Sum of lines 1 and 2)</i>	\$ 0

Part G — INDEBTEDNESS ON PHYSICAL PLANT

Line No.	Balances and transactions	Amount (whole dollars)
01	Balance owed on principal at beginning of year	\$ 0
02	Additional principal borrowed during year	0
03	Payments made on principal during year	0
04	Balance owed on principal at end of year <i>(Line 1, plus line 2, minus line 3)</i>	\$ 0
05	Interest payments on physical plant indebtedness	\$ 0

Section I CURRENT YEAR REPORT - FY 1999 - Continued

F-1

Part H - DETAILS OF ENDOWMENT ASSETS

Does this institution or any of its foundations or other affiliated organizations own endowment assets? - Mark (X)

1 Yes - Continue reporting assets for all in Part H.
 2 No - Do not complete Part H.

Line No.	Balances and yield	Book value (1)	Market value (2)	Amount (3)
01	Value of endowment assets at the beginning of the fiscal year	\$ 198,677,351	\$ 198,677,351	
02	Value of endowment assets at the end of the fiscal year	234,610,187	234,610,187	
03	Endowment yield (dividends, interest, rents, royalties, etc.)			
04	Endowment yield (Line 3) transferred to endowment fund			\$ 5,354,736
05	Transfer from the endowment fund to the current fund (only for those institutions employing the total return concept and spending rule)			335,065
				0

Part I - SELECTED FUNDS BALANCES

Line No.	Funds	Amount
01	Current funds balance	\$ 29,340,582
02	Funds functioning as endowment balance	27,442,558
03	Unexpended plant funds balance	(3,926,802)
04	Funds for renewals and replacements balance	66,649
05	Funds for retirement of plant debt balance	0
06	TOTAL (Sum of lines 1-5)	\$ 52,922,987

Section I CURRENT YEAR REPORT - FY 1999 - Continued F1

Part J - HOSPITAL REVENUES
(To be completed by institutions responding to Part A, line 13)

Line No.	Source	Amount (whole dollars)			TOTAL (3)
		Unrestricted (1)	Restricted (2)		
01	Government appropriations Federal	\$ 0	\$ 0	\$ 0	0
02	State	0	0	0	0
03	Local	0	0	0	0
04	Sales and services	0	0	0	0
05	All gifts, grants, and contracts	0	0	0	0
06	Endowment income	0	0	0	0
07	Other sources	0	0	0	0
08	Total (Sum of lines 1-7; this total must equal corresponding totals on Part A, line 13, columns 1-3)	\$ 0	\$ 0	\$ 0	0

Part K - PHYSICAL PLANT ASSETS

Line No.	Type of asset	Book value at beginning of year (1)	Additions during year (2)	Deductions during year (3)	Book value at end of year (4)	Current replacement value (est.) (5)
01	Land	\$ 8,247,744	\$ 66,069	\$ 0	\$ 8,313,813	
02	Buildings	0	0	0	0	0
03	Equipment	12,320,565	3,330,608	1,098,298	14,552,875	14,178,585

Notes

Section I

CURRENT YEAR REPORT — FY 1999 — Continued

F-1

Part L — INTEREST EARNINGS AND CASH AND SECURITY DATA FOR BUREAU OF THE CENSUS
(To be completed by all state and local public institutions.)

Line No.	Finance item <i>For stocks and associated investments, report book value (cost), rather than current market value</i>	Amount (whole dollars)
01	Interest from all funds <i>(Include interest from all unrestricted and restricted funds.)</i>	\$ 6,379,514
02	Total cash and security assets held at end of fiscal year in sinking or debt service funds	0
03	Total cash and security assets held at end of fiscal year in bond funds	0
04	Total cash and security assets held at end of fiscal year in all other funds <i>(Include current and endowment funds, restricted and unrestricted.)</i>	71,481,153

SPECIAL NOTE

Local institutions — Continue completing this form in Part M.
State institutions — SKIP to Part N.

Part M — TAX RECEIPTS AND CAPITAL OUTLAY EXPENDITURES DATA FOR BUREAU OF THE CENSUS
(To be completed by local public institutions.)

Line No.	Selected financial item	Amount (whole dollars)
01	Receipts from property and nonproperty taxes from all funds	\$ N/A
	Capital outlay	
02	Equipment purchase — current funds	N/A
03	Equipment purchase — plant, capital outlay, bond funds, and other noncurrent funds	N/A
04	Construction expenditures — all funds <i>(include plant, capital outlay, bond funds, and endowment)</i>	N/A
05	Land purchase — all funds <i>(include plant, capital outlay, bond funds, and endowment)</i>	N/A

Notes

Section I CURRENT YEAR REPORT — FY 1999 — Continued F-1

Part N — REVENUE, EXPENDITURE, AND INDEBTEDNESS DATA FOR BUREAU OF THE CENSUS
(To be completed by state public institutions only.)

Line No.	Selected finance items	Amount (whole dollars)			
		Education and general/independent operations (1)	Auxiliary enterprises (2)	Hospitals (3)	Agriculture extension/experiment services (4)
01	Employee compensation Salaries and wages				\$ 0
02	Payment to state retirement funds	\$ 425,156	\$ 0	\$ 0	0
03	Capital outlay Equipment purchase — current funds	1,303,009	0	0	0
04	Equipment purchase — plant and all other noncurrent funds	2,002,547	0	0	0
05	Construction — plant and all other noncurrent funds	4,135,198	0	0	0
06	Land purchase — plant and all other noncurrent funds	0	0	0	0
07	Miscellaneous revenues Gross charges from product sales				0
08	Revenue from federal government				0
09	Miscellaneous expenditures Current expenditures other than salaries				0

INDEBTEDNESS AND INTEREST

- Exclude debt issued and backed by state government.
- Report all revenue debt (including stadiums, dormitories, and hospitals) issued by your institution.

	Total (whole dollars)			
10	Interest paid on revenue debt and on any short-term debt	\$ 0		
1	Long-term debt outstanding at beginning of fiscal year	0		
2	Long-term debt issued during fiscal year	0		
3	Long-term debt retired during fiscal year	0		
4	Long-term debt outstanding at end of fiscal year (Line 11 plus 12 minus line 13)	0		
5	Short-term debt outstanding at beginning of fiscal year	0		
6	Short-term debt outstanding at end of fiscal year	0		